THALES

Microsoft Active Directory Certificate Services: Integration Guide

THALES LUNA HSM
THALES DATA PROTECTION ON DEMAND

Document Information

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Overview

This document explains how to install, configure, and integrate Microsoft Active Directory Certificate Services (ADCS) on Windows with a Luna Hardware Security Module (HSM) or an HSM on Demand Service. The Microsoft ADCS on Windows provides customizable services for creating and managing public key certificates used in software security systems employing public key infrastructure. Organizations use public key certificates to enhance their digital security by binding the identity of a person, device, or service to a corresponding private key.

The root of trust in a public key infrastructure is the certificate authority (CA). Fundamental to this trust is the CA's root cryptographic signing key, which is used to sign the public keys of certificate holders and more importantly its own public key. Microsoft ADCS integrates with a Luna HSM or HSMoD service to secure the root encryption key.

Using Luna HSMs to secure the Microsoft ADCS root key provides the following benefits:

- Secure generation, storage and protection of the Identity signing private key on FIPS 140-2 level 3 validated hardware*
- > Full life cycle management of the keys
- > HSM audit trail

NOTE: HSM on Demand services does not have access to the secure audit trail

> Load balancing and fail-over by clustering the HSMs

*Validation for HSMoD services in progress

Supported Platforms

Luna HSM

This integration is supported with Luna HSM on the following operating systems:

- > Windows 2019 Server
- > Windows 2016 Server
- > Windows Server 2012R2

NOTE: If you are using Windows Server 2008 R2 you require a previous version of the Luna HSM Integration Guide. See MicrosoftADCS_SafeNetLunaHSM_Integration Guide_RevW for more information about integrating a SafeNet Luna HSM with Microsoft ADCS on Windows Server 2008R2.

NOTE: This integration is tested with Luna Clients in HA and FIPS Mode.

DPoD

This integration is supported/verified with DPoD on the following operating systems:

- > Windows 2019 Server
- > Windows 2016 Server
- > Windows Server 2012R2

Prerequisites

Before you begin the integration process, ensure you have completed the following tasks:

Configuring Luna HSM

To configure Luna HSM:

- 1. Ensure the HSM is setup, initialized, provisioned and ready for deployment.
- 2. Create a partition, establish a Network Trust Link (NTL) between the HSM and client, and enable the client to access the partition. Refer to Luna Network HSM Product Documentation for the detailed process.
- 3. Initialize Crypto Officer and Crypto User roles for the partition.
- 4. Use the following command to validate that the partition is successfully registered and configured:

```
Path to lunacm utility>lunacm
lunacm.exe (64-bit) v7.3.0-139. Copyright (c) 2018 SafeNet. All rights
reserved.
Available HSMs:
     Slot Id ->
                              0
     Label ->
                             ms-adcs
                              1238696044953
     Serial Number ->
     Model ->
                              LunaSA 7.3.0
     Firmware Version ->
                             7.3.0
     Configuration ->
                             Luna User Partition With SO (PW) Key Export
     With Cloning Mode
     Slot Description ->
                             Net Token Slot
```

NOTE: For a detailed description of the steps involved in Luna HSM configuration, refer to Luna Network HSM Product Documentation.

Provisioning your HSM on Demand Service

This service enables your client machine to access an HSM application partition for storing cryptographic objects used by your applications. Application partitions can be assigned to a single client, or multiple clients can be assigned to, and share, a single application partition.

You need to provision your application partition by initializing the following roles:

- > **Security Officer (SO)** Responsible for setting the partition policies and for creating the Crypto Officer.
- > **Crypto Officer (CO)** Responsible for creating, modifying, and deleting crypto objects within the partition. The CO can use the crypto objects and create an optional, limited-capability role called Crypto User that can use the crypto objects but cannot modify them.
- > **Crypto User (CU)** An optional role that can use crypto objects while performing cryptographic operations.

NOTE: Refer the "Thales Data Protection on Demand Application Owner Quick Start Guide" for configuring the HSM on Demand service and creating a service client.

The HSM service client package is a zip file containing system information needed to connect your client machine to an existing HSM on Demand service.

HSM on Demand Service can be configured in the following scenarios:

- > User wants to use DPoD Client to access service partition Required to execute steps 1-4 and 10.
- > User wants to use Luna Client to access the service partition Required to execute steps 1-10.
- > User wants to use existing Luna Client to access the service partition in Hybrid mode with Luna Partition Required to execute steps 1-10.

NOTE: Last two scenarios are supported for Universal Client only, starting from Luna Client v10.1.0 onwards.

To Configure DPoD HSM on Demand service with/without Luna Client

- 1. Transfer the downloaded .zip file to your Client workstation using pscp, scp, or other secure means.
- **2.** Extract the .zip file into a directory on your client workstation.
- **3.** Extract or untar the appropriate client package for your operating system. Do not extract to a new subdirectory; place the files in the DPoD client install directory.

[Windows]
cvclient-min.zip

4. Run the provided script to create a new configuration file containing information required by the HSMoD service.

Right-click setenv.cmd and select Run as Administrator.

NOTE: Run the LunaCM utility available in the DPoD client and verify the service partition is listed. If you need to configure DPoD service partition with existing Luna Client follow further steps.

5. Copy the server and partition certificates from the DPoD client directory to your Luna client certificates directory:

DPoD Certificates:

```
server-certificate.pem
partition-ca-certificate.pem
partition-certificate.pem
```

LunaClient Certificate Directory:

C:\Program Files\Safenet\Lunaclient\cert\

6. Open the configuration file from the DPoD client directory and copy the **XTC** and **REST** section.

```
crystoki.ini
```

7. Edit the Luna Client configuration file and add the XTC and REST section. In both sections you need to change only server and partition certificates path from step 5. Do not change any other entries provided in XTC and REST section.

```
[XTC]
. . .
PartitionCAPath=<LunaClient_cert_directory>\partition-ca-certificate.pem
PartitionCertPath00=<LunaClient_cert_directory>\partition-certificate.pem
. . .

[REST]
. . .
SSLClientSideVerifyFile=<LunaClient_cert_directory>\server-certificate.pem
. . .
```

8. Edit the following entry from the **Misc** section and update the correct path for the **plugins** directory:

```
Misc]
PluginModuleDir=<LunaClient_plugins_directory>
[Windows Default]
C:\Program Files\Safenet\Lunaclient\plugins\
```

Save the configuration file. If you wish, you can now safely delete the extracted DPoD client directory.

9. Reset the **ChrystokiConfigurationPath** environment variable and point back to the location of the Luna Client configuration file.

[Windows]

In the Control Panel, search for "environment" and select **Edit the system environment variables**. Click **Environment Variables**. In both list boxes for the current user and system variables, edit **ChrystokiConfigurationPath** and point to the **crystoki.ini** file in the Luna client install directory.

10. Run the **LunaCM** utility and verify the service partition is listed. If you already have a Luna Partition before configuring the DPoD service partition, both Luna and DPoD service partition will be listed.

Constraints on HSM on Demand Services

HSM on Demand Service in FIPS mode

HSMoD services operate in a FIPS and non-FIPS mode. If your organization requires non-FIPS algorithms for your operations, ensure you enable the **Allow non-FIPS approved algorithms** check box when configuring your HSM on Demand service. The FIPS mode is enabled by default.

Refer to the *Mechanism List* in the *SDK Reference Guide* for more information about available FIPS and non-FIPS algorithms.

Verify HSM on Demand <slot value>

LunaCM commands work on the current slot. If there is only one slot, then it is always the current slot. If you are completing an integration using HSMoD services, you need to verify which slot on the HSMoD service you send commands to. If there is more than one slot, then use the slot set command to direct a command to a specified slot. You can use slot list to determine which slot numbers are in use by which HSMoD service.

Using Thales HSM in FIPS Mode

Under FIPS 186-3/4, the RSA methods permitted for generating keys are 186-3 with primes and 186-3 with aux primes. This means that RSA PKCS and X9.31 key generation is no longer approved for a FIPS-compliant HSM. If you are using the Luna HSM or HSM on Demand service in FIPS mode, you have to make the following change to the configuration file:

[Misc]

RSAKeyGenMechRemap=1

The above setting redirects the older calling mechanism to a new approved mechanism when Luna HSM or HSMoD is in FIPS mode.

NOTE: For Universal Client, above setting is not required. This setting is applicable for Luna Client 7.x only.

NOTE: This remapping is automatic if you are using Luna HSM Client 10.1 and above, and the configuration file entry is ignored.

Integrating Luna HSM with Microsoft ADCS on Windows Server

This section outlines the steps to install and integrate Microsoft Active Directory Certificate Services (ADCS) on Windows Server with a Luna HSM or HSMoD service. Microsoft ADCS uses the SafeNet Luna KSP (Key Storage Provider) for integration.

We recommend familiarizing yourself with Microsoft Active Directory Certificate Services. Refer to the *Microsoft ADCS Configuration* documentation for more information.

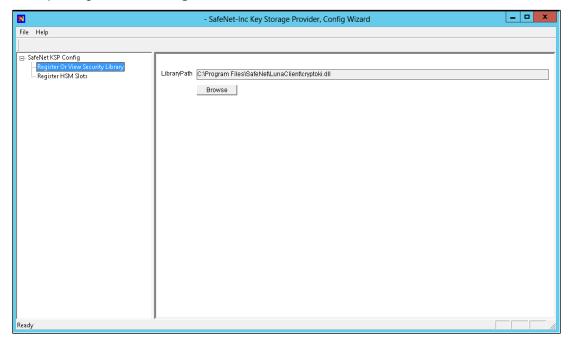
Configure SafeNet Key Storage Provider (KSP)

You must configure the SafeNet Key Storage Provider (KSP) to allow the user account and system to access the Luna HSM or HSM on Demand Service.

- > If you are using a Luna HSM, the KSP package must be installed during the Luna Client software installation.
- If you are using an HSM on Demand (HSMoD) service, the KSP package is included in the HSMoD service client package inside of the /KSP folder.

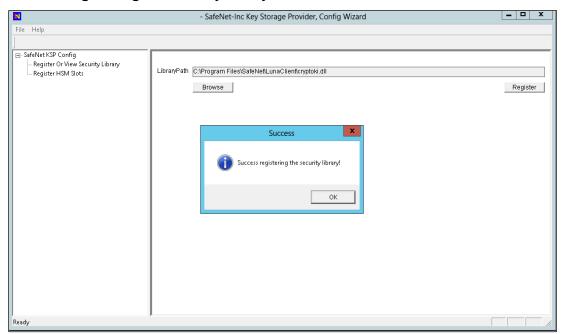
To configure the SafeNet Key Storage Provider:

- 1. Navigate to the <SafeNet HSM Client installation Directory>/KSP directory.
- 2. Run the KspConfig.exe (KSP configuration wizard).
- 3. Double-click Register Or View Security Library.
- **4.** Browse the library cryptoki.dll from the Luna HSM Client installation directory or HSMoD service client package and click **Register**.

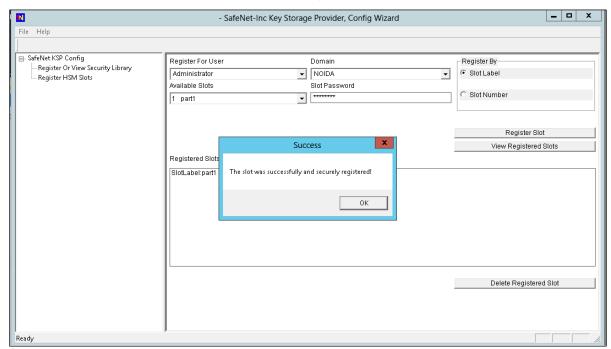


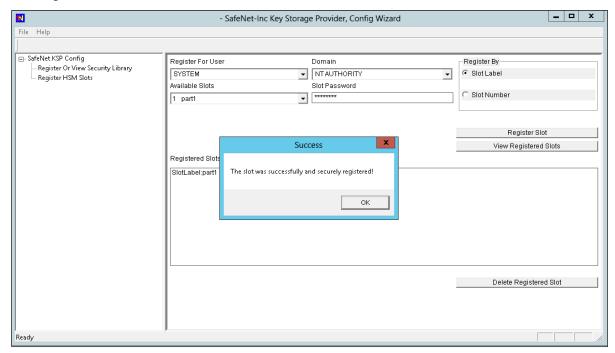
5. On successful registration, you will see the following message:

Success registering the security library!



- 6. Double-click **Register HSM Slots** on the left side of the pane.
- 7. Enter the Slot (Partition) password.
- 8. Click **Register Slot** to register the slot for Domain\User. On successful registration, a message "The slot was successfully and securely registered" displays.





Register the same slot for NT AUTHORITY\SYSTEM.

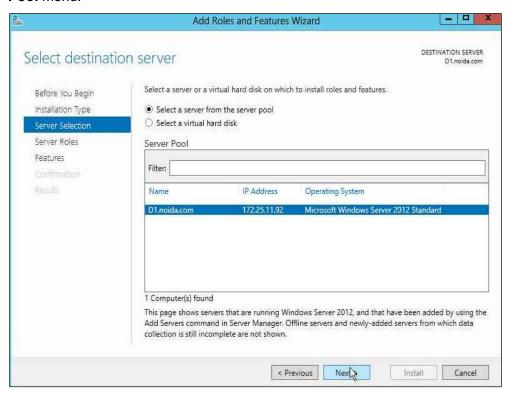
NOTE: Both slots have been registered, despite only one entry appearing for the service in the **Registered Slots** section of the KSP interface.

Install Microsoft ADCS on Windows Server using SafeNet KSP

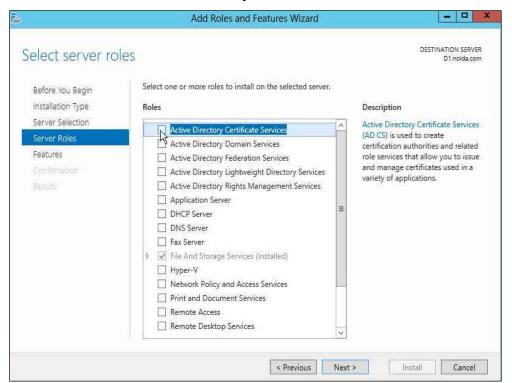
You must configure Microsoft ADCS to use the Luna HSM or HSMoD service when you configure the Microsoft Certificate Authority (CA) user role. To install Microsoft ADCS:

- 1. Log in as an Enterprise Admin/Domain Admin with Administrative privileges.
- 2. Ensure you have configured the SafeNet KSP. Refer to the section Configure SafeNet Key Storage Provider (KSP) section for more information.
- 3. Open the Server Manager under Configure this Local Sever and click Add Roles and Features.
- 4. The Add Roles wizard displays.
- 5. Click Next.
- 6. Select the Role-based or feature-based installation radio button and click Next.

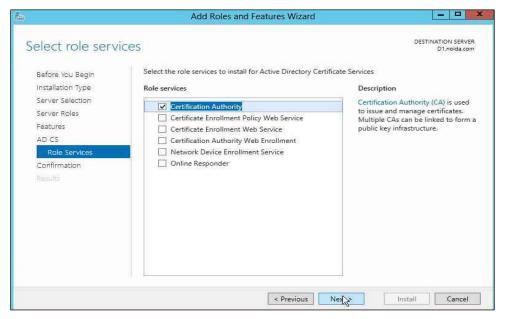
Select the Select a server from the server pool radio button and select your server from the Server Pool menu.



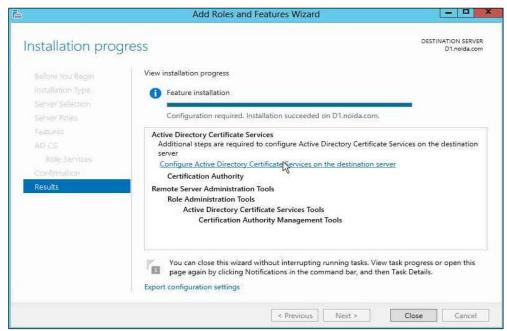
8. Click Next. Select the Active Directory Certificate Services check box.



- 9. A window displays stating Add features that are required for Active Directory Certificate Services? To add a feature, click the Add Features button.
- 10. Click **Next** to continue.
- 11. On the Active Directory Certificate Services page click **Next** to continue.
- 12. Select the Certification Authority check box from the Role services list and click Next.

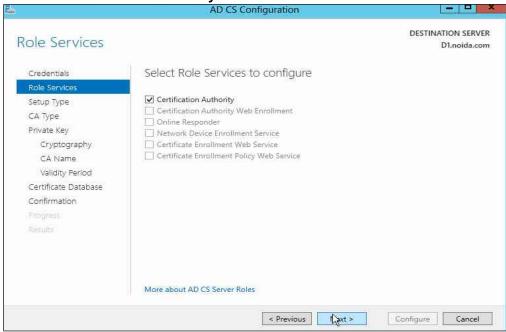


- 13. Click Install.
- **14.** When installation is complete, click **Configure Active Directory Certificate Services on the destination server** and the AD CS Configuration wizard displays.



15. On the Credentials page of AD CS Configuration wizard, click Next to continue.

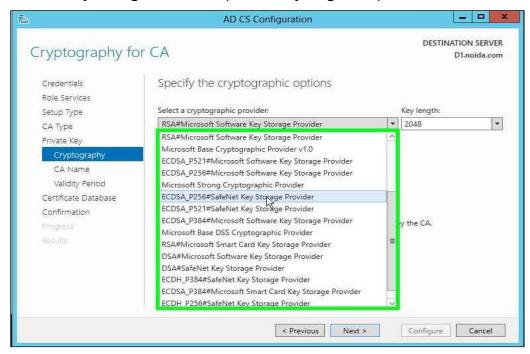
16. Select the Certification Authority check box and click Next.



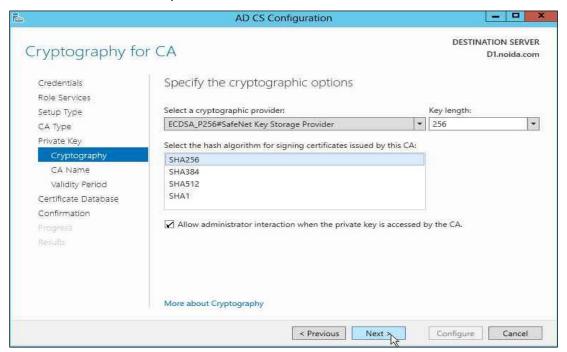
- 17. Select the Enterprise CA radio button and click Next.
- 18. Select the Root CA radio button and click Next.
- **19.** Setup the Private Key for the CA to generate and issue certificates to clients. If you would like to create a new private key select the **Create a new private key** radio button. Click **Next**. If you would like to use an existing private key, proceed to step 24.



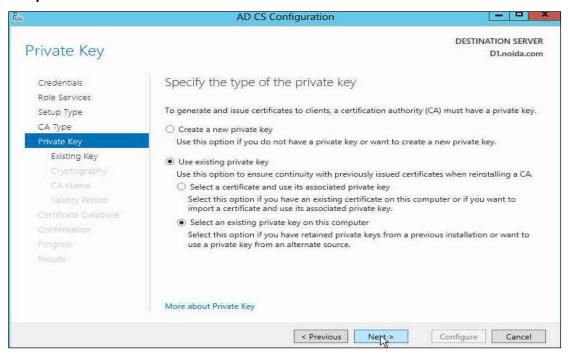
20. Open the **Select a cryptographic provider:** drop-down menu and select an algorithm using a **SafeNet Key Storage Provider**. Open the **Key length:** drop-down menu and select a key-length.



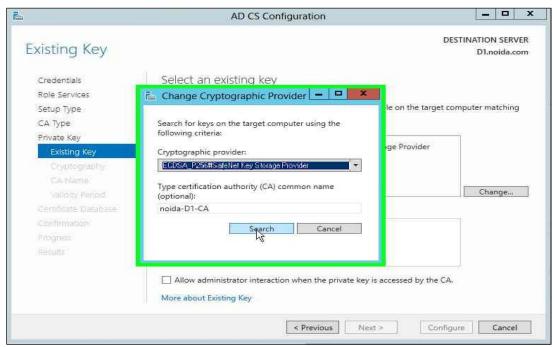
- **21.** Select the **Hash Algorithm** for signing certificates issued by this Certificate Authority and key length settings for your installation.
- 22. Select the Allow administrator interaction when the private key is accessed by the CA check box.
- 23. Click Next. Proceed to step 27.



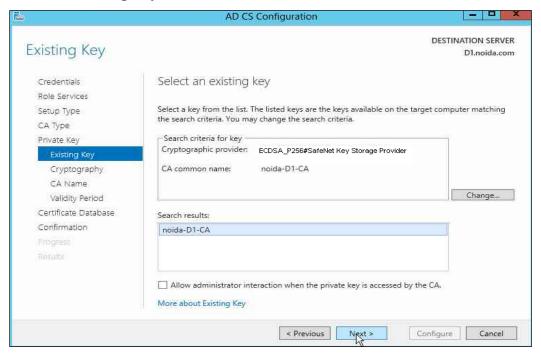
24. Select the Use existing private key check box. Setup the Private Key for CA to generate and issue certificates to clients. Select Use existing private key and Select an existing private key on this computer. Click Next to continue.



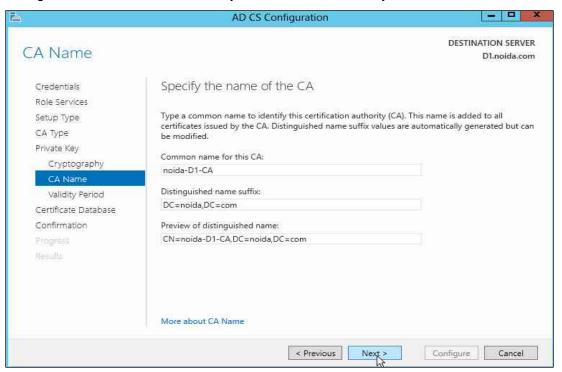
25. Click **Change**. Select the SafeNet Key Storage Provider algorithm that you have used to generate the private keys and clear the CA Common name, click **Search**.



26. Select the Existing Key and click Next.



27. Configure a common name to identify this Certificate Authority. Click Next.

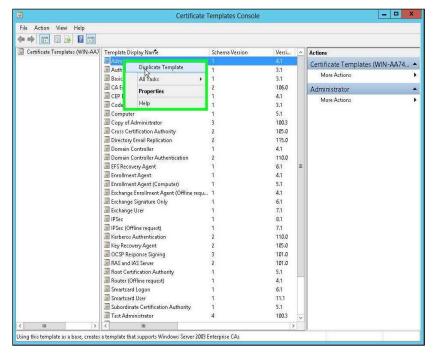


- 28. Proceed to set the Certificate Validity Period. Click Next. Configure the Certificate database location. It records all the certificate requests, issued certificates, and revoked or expired certificates. Click Next.
- 29. Click **Configure** to configure the selected roles, role services, or features.
- **30.** Click **Close** to exit the **AD CS Configuration** wizard after viewing the installation results. A private key for the CA will be generated and stored on the HSM.
- **31.** Open a command prompt and run the following command to verify that service is running: sc query certsvc
- **32.** Open a command prompt and run the following command to verify the CA key: certutil -verifykeys

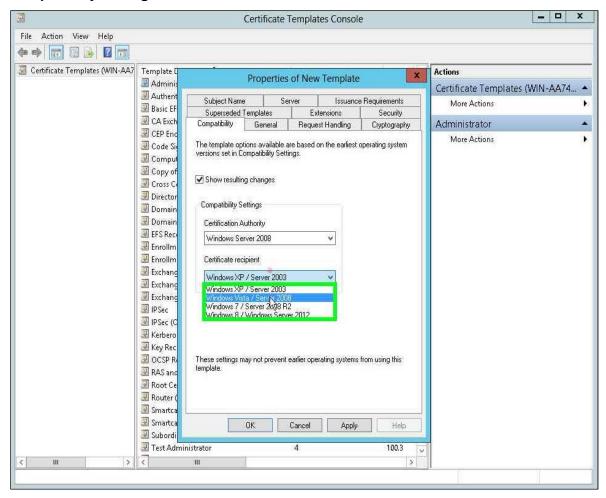
The result of the command shows the CA keys have successfully been verified.

Enroll Certification Authority Certificate

- 1. Create a CA template that uses SafeNet Key Storage Provider.
 - a. Open a command prompt and run certtmpl.msc.
 - **b.** Right click the **Administrator** template. Click Duplicate Template.

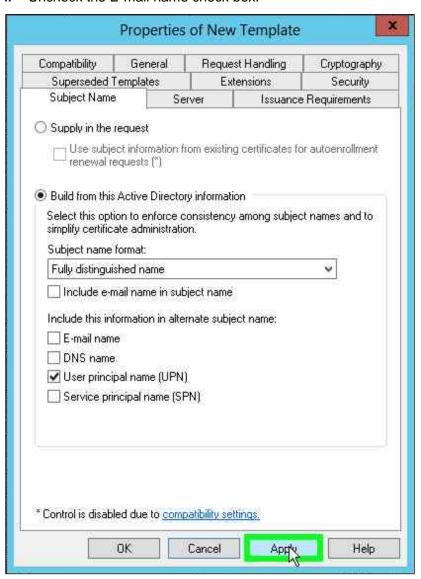


2. Select **Windows Server 2008** for both Certification Authority and Certificate recipient under **Compatibility Settings**, Click **OK**.



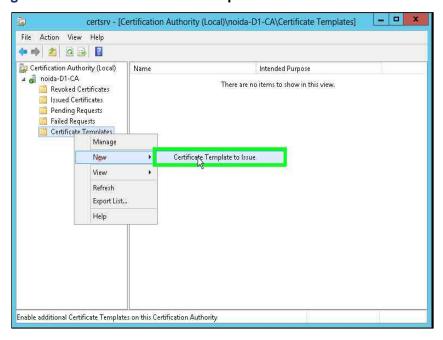
- 3. Verify the changes on the Resulting Changes window. Click **OK**.
 - a. Select the General tab. Enter template name.
 - **b.** Go to the Cryptography tab. Select Key Storage Provider for Provider Category.
 - **c.** Select the Requests must use one of the following providers radio button.
 - d. In the Providers field select the SafeNet Key Storage Provider only.
 - e. For Algorithm Name select an algorithm.
 - Select Request Hash.
 - g. Go to the Subject Name tab.
 - h. Uncheck the Include e-mail name in subject name check box

Uncheck the E-mail name check box.



- c. Click Apply to save the template. Click OK.
- **d.** Open the command prompt and run **certsrv.msc**.
- e. Double-click the CA name.
- f. Right-click the **Certificate Templates** node.

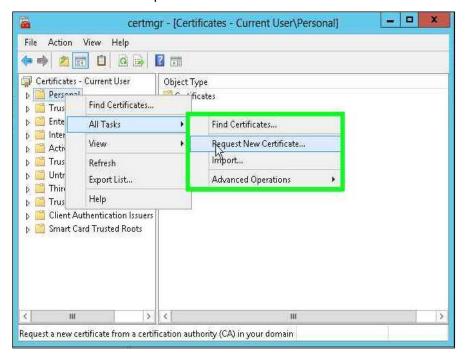
g. Select New -> Certificate Template to Issue



Select the template you recently created and click OK.



- 4. Request a certificate based on the template.
 - a. Request a certificate based on the template.
 - i. Open the command prompt and run the **certmgr.msc** command.
 - j. Right-click the **Personal** node.
 - k. Select All Tasks -> Request New Certificate...



- I. Click Next.
- m. Click Next.
- **n.** Enable the check box for the template you created above.
- o. Click Enroll.
- **p.** Verify the certificate is enrolled successfully. The UI enrollment wizard shows if the certificate enrollment was successful.

Archive CA Key

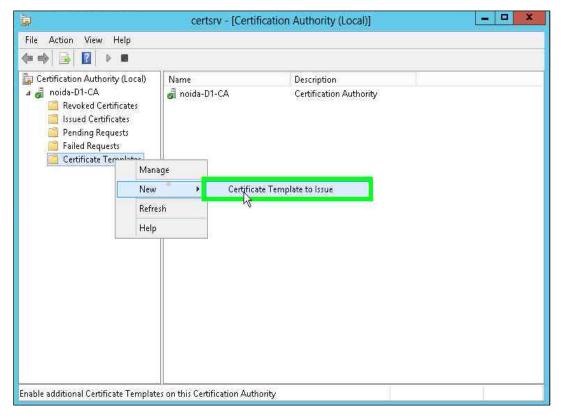
You can verify that the configurations that are possible with the Luna HSM or HSM on Demand service can be used and do not interfere with the CA key archival functionality.

To complete archiving the CA-Key you must complete the following tasks:

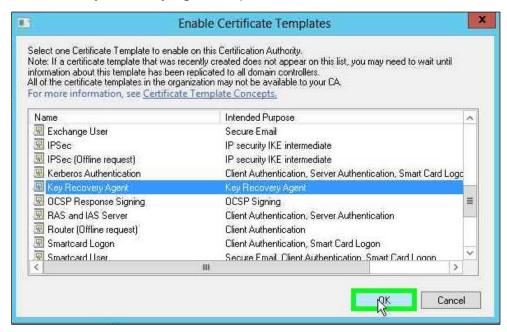
NOTE: If you wish to secure the key on Luna HSM that is used to encrypt the Archived Keys then you need to select the SafeNet Key Storage Provider for generating the keys for Key Recovery Agent certificate.

Archive the CA key

- 1. Install the Enterprise Certificate Server using the SafeNet Key Storage Provider and ECC key.
- 2. Verify the CA is installed correctly.
- 3. Add a Key Recovery Agent (KRA) template to CA for issuing.
- 4. Open the command prompt and run the **certsrv.msc** command.
- 5. Right-click the **Certificate Templates** node. Select **New -> Certificate Template to Issue**.

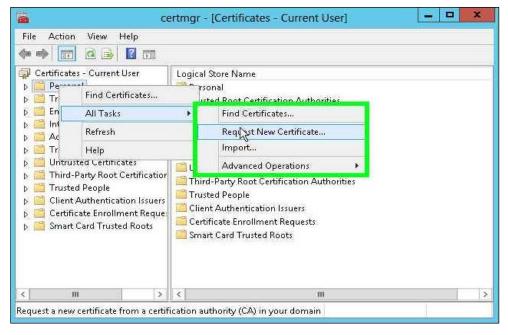


6. Select the **Key Recovery Agent** template and click **OK**.



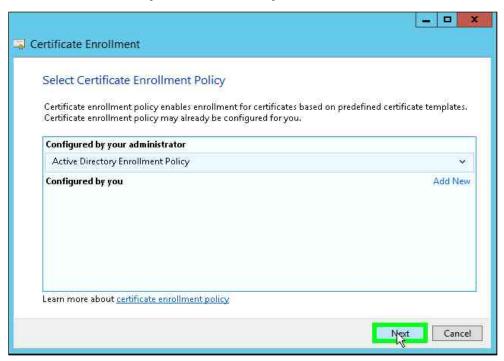
Issue the KRA Certificate.

- 1. Request the KRA certificate. Open the command prompt and run the **certmgr.msc** command.
- 2. Right-click Personal node. Select All Tasks -> Request new certificate....

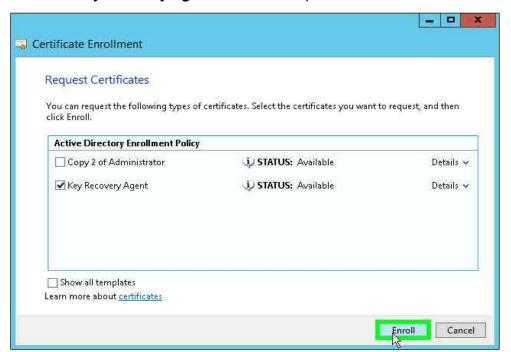


3. Click Next.

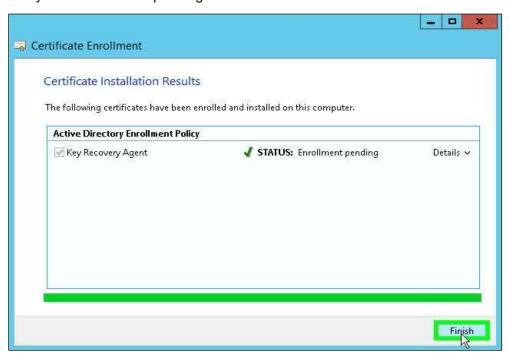
4. Select Active Directory Enrollment Policy and click Next.



5. Select the Key Recovery Agent check box template and click Enroll.

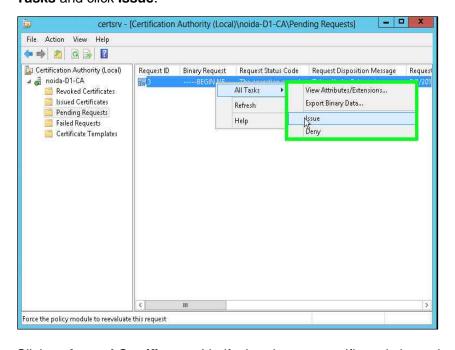


6. Verify the enrollment is pending and click Finish.



Issue the KRA certificate from the CA snap-in.

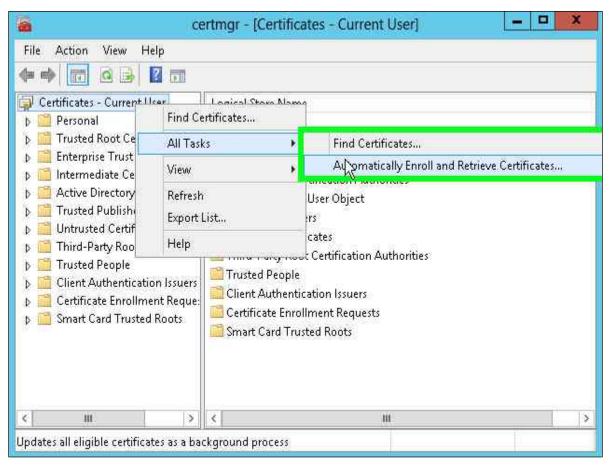
- 1. Open the command prompt and run the **certsrv.msc** command.
- 2. Select the **Pending Requests** node. Right-click on the latest request for the KRA template. Select **All Tasks** and click **Issue**.



3. Click on **Issued Certificates**. Verify that the new certificate is issued.

Retrieve the issued certificate from CA

- 1. Open the command prompt and run **certmgr.msc** command.
- 2. Right click Certificates Current User
- 3. Select All Tasks and click Automatically enroll and retrieve certificates...

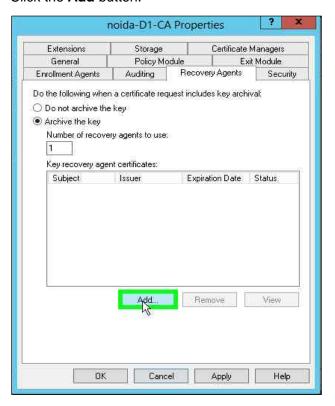


- 4. Click Next.
- 5. Select the KRA certificate you just issued and enroll it.

Configure the CA to support Key Archival.

- 1. Open the command prompt and run the **certsrv.msc** command.
- 2. Right-click CA Name and select **Properties**.
- 3. Select the Recovery Agent tab.
- 4. Select the **Archive the key** radio button.

5. Click the Add button.



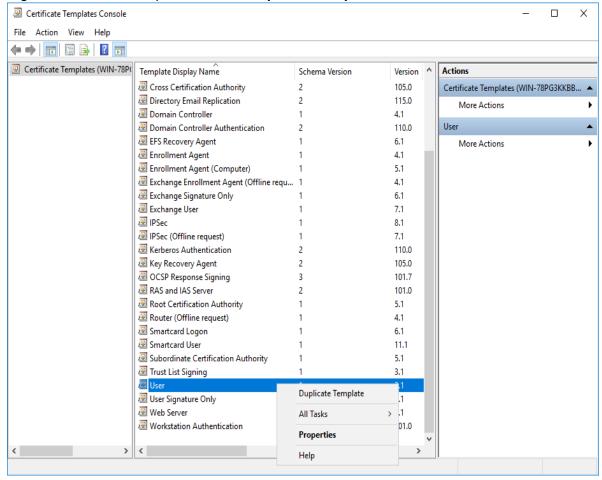
6. Select the KRA certificate you just issued, Click **OK**.



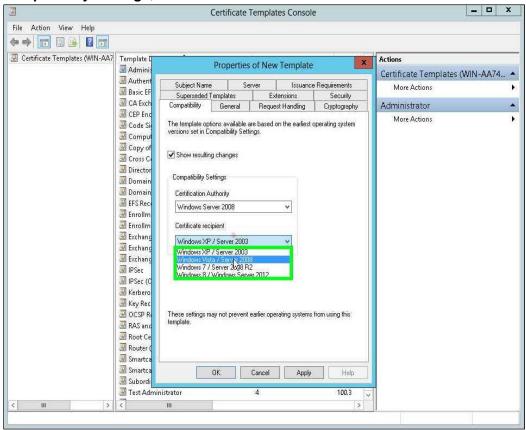
- 7. Click OK
- 8. Verify the CA service must be restarted, click Yes.

Create a template with Key Archival enabled

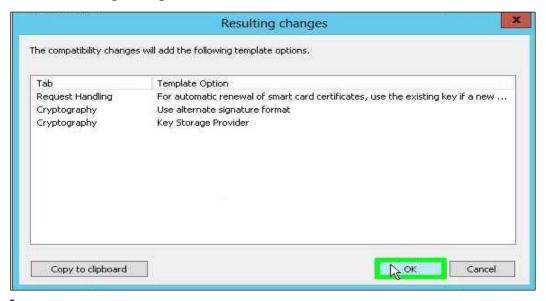
- 1. Open the command prompt and run the **certtmpl.msc** command.
- 2. Right-click the User template and click **Duplicate Template**.



Select Windows Server 2008 for both Certification Authority and Certificate recipient under Compatibility Settings, Click OK.

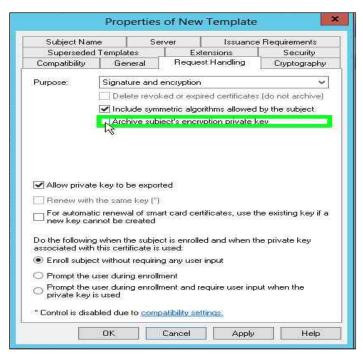


4. On the Resulting Changes menu click OK.

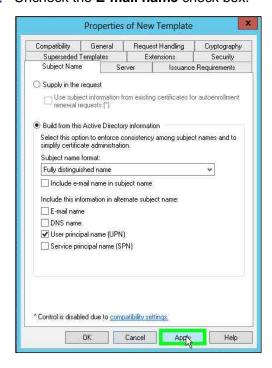


5. Go to the **General** tab and enter a name for the template (UserKeyArchival).

Go to the Request Handling tab and enable the Archive subject's encryption private key check box.



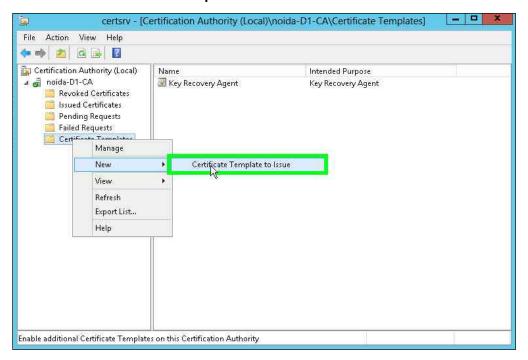
- 7. Select the Subject Name tab.
- 8. Uncheck the Include e-mail name in subject name check box.
- 9. Uncheck the E-mail name check box.



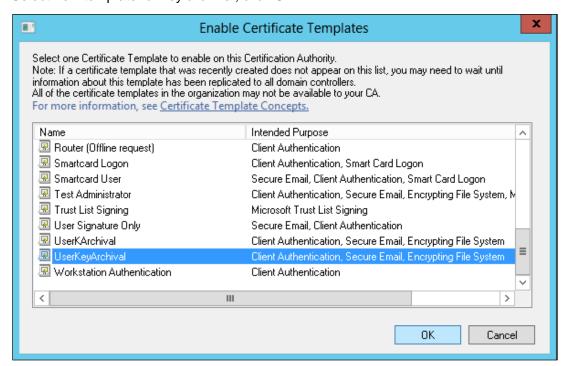
10. Click Apply and then OK.

Add a new template to CA for issuing

- 1. Open the command prompt and run the **certsrv.msc** command.
- 2. Right-click the **Certificate Templates** node.
- 3. Select New -> Certificate Template to Issue.

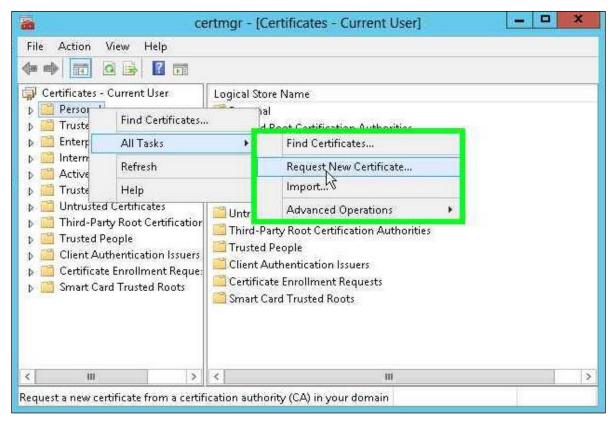


4. Select new template for key archival, click **OK**.



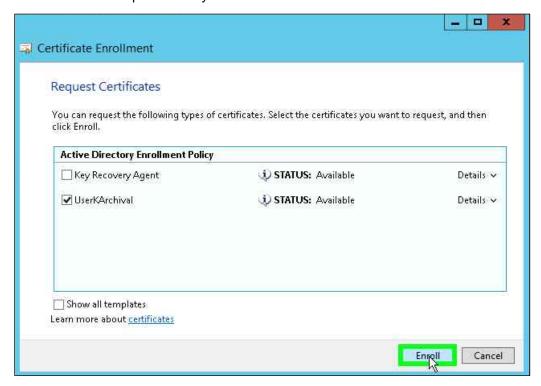
Issue a user template with key archival enabled

- 1. Open the command prompt and run the **certmgr.msc** command.
- 2. Right-click **Personal** node.
- 3. Select All Tasks -> Request New Certificate.

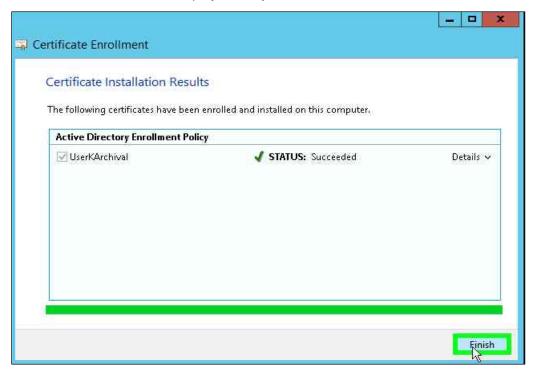


- 4. Click Next
- 5. Click Next.

6. Select the new template for key archival check box and click Enroll.



7. The Enrollment Wizard UI displays. Verify the enrollment is successful.



8. Click Finish.

Perform Key Recovery

You can recover archived keys. To perform a key recovery:

- 1. Log on to the system as Domain Administrator and ensure that the private key is still recoverable by viewing the Archived Key column in the Certification Authority console.
 - a. Log on as Domain Administrator.
 - b. From Administrative Tools, open Certification Authority.
 - c. In the console tree, double-click CA, and then click Issued Certificates.
 - d. From the View menu, click Add/Remove Columns.
 - e. In Add/Remove Columns, in Available Column, select Archived Key, and then click Add. Archived Key should now appear in Displayed Columns.
 - f. Click **OK** and then, in the details pane, scroll to the right and confirm that the last issued certificate to **UserKeyArchival** has a **Yes** value in the **Archived Key** column.

NOTE: A certificate template must have been modified so that the Archive bit and Mark Private Key as Exportable attributes were enabled. The private key is only recoverable if there is data in the Archived Key column.

- g. Double-click the Archive User certificate.
- h. Click the **Details** tab.

Write down the serial number of the certificate. (Do not include spacing between digit pairs.) This is required for recovery.

The serial number is a hexadecimal string which is 20 characters long. The serial number of the private key is the same as the serial number of the certificate. For the purpose of this walkthrough, the serial number will be referred to as **serialnumber**.

- i. Click OK.
- i. Close Certification Authority.
- 2. Recover the private key into a BLOB output file by using **certutil.exe**.
 - **a.** On the taskbar, click the **Start** button, click **Run**, type **cmd**, then click **OK** to open command prompt window.
 - **b.** Type **cd** \ and then press **ENTER**.
 - c. Ensure that you are in the c:\ directory.
 - **d.** At the command prompt, type:

Certutil -getkey serialnumber outputblob

e. At the command prompt, type

dir outputblob

NOTE: If the file outputblob does not exist, you probably typed the serial number incorrectly for the certificate.

The outputblob file is a PKCS#7 file containing the KRA certificates and the user certificate and chain. The inner content is an encrypted PKCS#7 containing the private key (encrypted by the KRA certificates).

- 3. Recover the original private/public key pair using Certutil.exe
 - a. On the taskbar, click the Start button, click Run, type cmd, and click OK to open a command prompt window.
 - **b.** At the command prompt, type:
 - Certutil -recoverkey outputblob user.pfx
 - **c.** When prompted, enter the following information:
 - Enter new password: password
 - Confirm new password: password
 - d. Type exit, and then press ENTER.
 - e. Close all windows and log off as the current user.
- 4. Import the recovered private key/certificate.
 - a. At the command prompt, type certmgr.msc
 - b. Right click Certificates (Current User), and then click Find Certificates.
 - c. In Find Certificates, under Contains, type CA Name and then click Find Now.
 - d. In Find Certificates, on the Edit menu, click Select All.
 - e. In Find Certificates, on the File menu, click Delete.
 - f. In Certificates, click Yes.
 - g. Close Find Certificates.
- 5. Import the certificate at c:\user.pfx and let the certificates be placed by the system.
 - a. In the console tree, right-click Personal and then click All Tasks and then click Import.
 - b. In the Certificate Import Wizard, click Next.
 - c. On Files to Import, in the File name box, type c:\user.pfx, and then click Next.
 - d. In Password, type password and then click Next.
 - e. On Certificate Store, click Automatically select the certificate store based on the type of certificate and then click Next.
 - f. On Completing the Certificate Import Wizard, click Finish.
- **6.** Verify the serial number of the imported certificate.
 - a. In the console tree, double-click **Personal** and then click **Certificates**.
 - **b.** Double-click certificate.
 - c. In Certificate, go to the Details tab. Verify that the serial number matches the original.

Installing and Configuring the CA cluster using SafeNet Key Storage Provider

The following sections describe the installation and configuration of a CA on a failover cluster running on Windows Server. Register SafeNet Luna KSP using KSPConfig.exe. (Refer to the Configure the SafeNet HSM Key Storage Provider section.)

Set up the CA server role on the first cluster node

This section explains how to install certificate services on the first cluster node. To setup the CA server role on the first cluster node:

- 1. Log in as an Enterprise Admin/Domain Admin with Administrative privileges.
- The steps to install the Microsoft Active Directory Certificate Services are same as the <u>Install Active</u> <u>Directory Certificate Services</u> section. After Microsoft ADCS is successfully installed, continue with the below steps.
- 3. Click the Start button, point to Run, type certsrv.msc, and then click OK.
- 4. Select the CA node in the left pane.
- 5. On the Action menu, click All Tasks and then Backup CA.
- 6. Click Next on the Welcome page of the CA backup wizard.
- 7. Select **Private key and CA certificate** and provide a directory name where you will temporarily store the CA certificate and optionally the key. Click **Next**.



- 8. Provide a password to protect the CA key and click **Next**.
- 9. Click Finish.



NOTE: You will receive a warning message that the private key cannot be exported. This is expected behavior because the private key will never leave the Luna HSM.

10. Click OK to continue.

NOTE: You need to run the *ksputil.exe* utility to migrate keys to the cluster. Please contact Customer Support, in case you do not have the ksputil.exe utility.

11. Run the ksputil.exe utility to make the keys visible to the secondary node in the cluster. You will be prompted to enter the partition password.

ksputil clusterKey /s <slotNum> /n <CA_Name> /t <TargetHost_Name>
Where,

slotNum - slot number

CA name – name of the CA

TargetHost_Name - FQDN of the second node



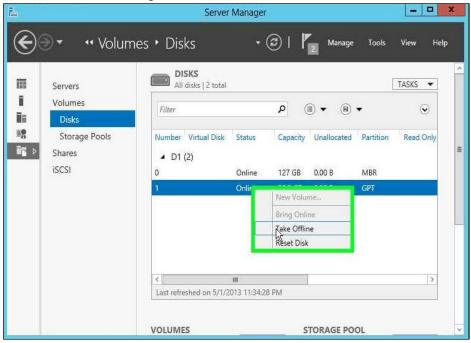
12. Click the Action menu, All Tasks and then Stop Service.

NOTE: After the successful migration of keys to the second node, the CA service must be shut down to unlock the disk resources.

13. Close the CA management snap-in.

To detach the shared storage form the cluster node

1. Go to the **Server Manager** MMC snap-in. Click the **File and Storage Services**. Click **Disks**, select shared disk resource, right click on it and select **Take Offline**.



To release the HSM from the cluster node

- 1. Since Luna HSM is a network attached HSM, therefore disable the network connection to release it from cluster node one.
- 2. Logoff from the Cluster node one.

The installation of the Certification Authority on the first node is completed now.

Set up the CA server role on the second cluster node

This section explains how to set up the second cluster node. To install the CA on the second node, complete the following tasks:

Configure the secondary cluster node:

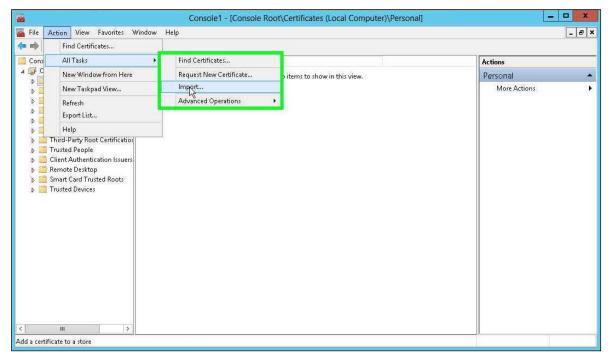
1. Log on to the cluster node with permissions to install the second cluster node. To install an enterprise CA, logon with enterprise permissions to the Active Directory domain. To install a standalone CA you

may logon with local admin permissions if you don't want to register the CA in the Active Directory configuration container.

- Click the Start button open Run, type servermanager.msc, and click OK.
- 3. The Server Manager MMC snap-in opens. Click the File and Storage Services. Click Disks.
- 4. Ensure that the shared disk that is used for the CA is online.
- **5.** Copy the previously exported CA certificate to the second cluster node.
- 6. Click the **Start** button, point to **Run**, type **mmc**, and then click **OK**.
- 7. From the File menu, click Add/remove Snap-in...
- 8. Select Certificates from the list of available snap-ins and click Add.
- 9. Select the Computer Account radio button and click Next.
- **10.** Select the **Local Computer** radio button and click **Finish**.
- 11. Click **OK**.

Import an existing CA certificate

- 1. In the Certificate Manager MMC snap-in, expand the **Certificates (Local Computer)** node and select the **Personal** store.
- From the Action menu click All Tasks and then Import ...



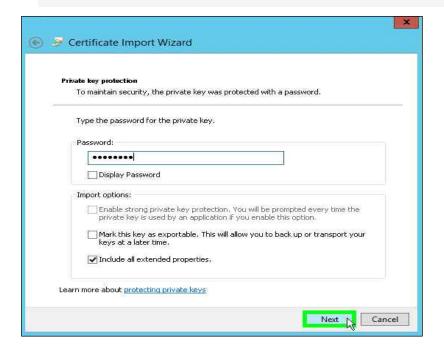
- 3. In the Certificate Import Wizard, click Next.
- **4.** Enter the filename of the CA certificate that was previously created on the first node and click **Next**. If you use the Browse button to find the certificate, change the file type to *Personal Information*

Exchange *pfx,*.p12).

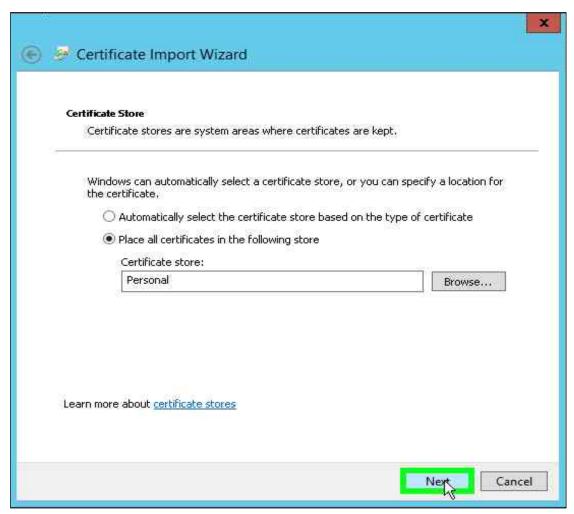


5. Type the password previously used to protect the private key. The password is required even if there is no private key in the PFX file. Click **Next.**

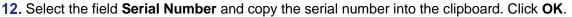
NOTE: Do not select the Mark this key as exportable check box.

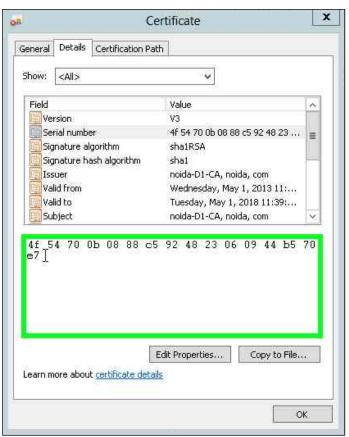


Select the Place all certificates in the following store radio button and select the **Personal** certificate store. Click **Next**.



- Click Finish to import the certificate.
- 8. Click **OK** to confirm the successful import.
- **9.** Repair the association between the certificate and the private key that is stored in the HSM.
- 10. In the Certificate manager, expand the **Personal** store and select the **Certificates** container.
- 11. Select the imported certificate and select **Open** from the **Action** menu. Go to the **Details** tab.

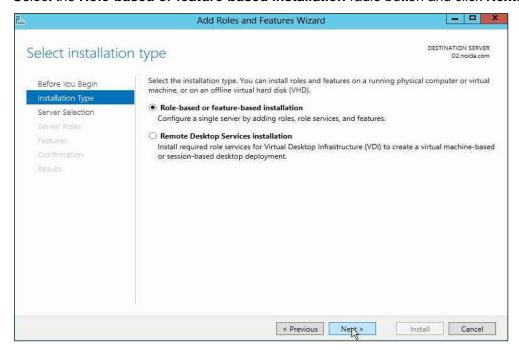




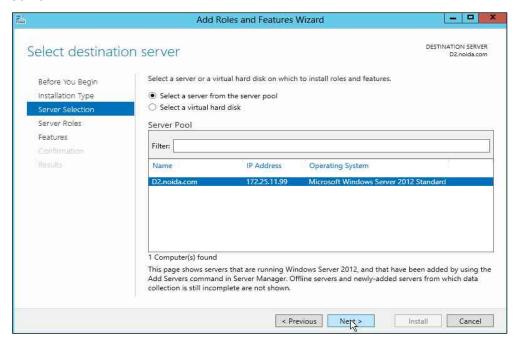
13. Open the command prompt and type certutil -repairstore My "{Serial number}" and press Enter.

Add the AD CS role

- 1. Open Server Manager under Configure this Local Sever and click Add Roles and Features.
- The Add Roles and Features Wizard displays.
- 3. Click Next.
- 4. Select the Role-based or feature-based installation radio button and click Next.

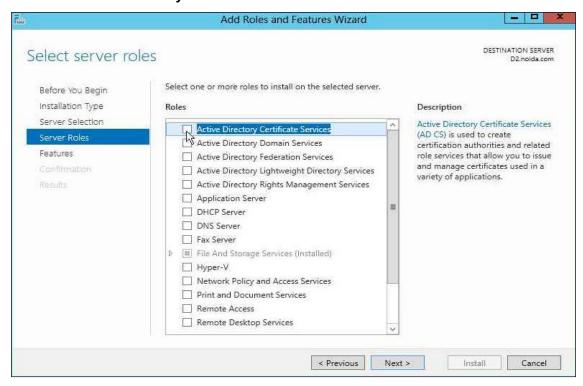


Select the Select a server from the server pool radio button and from Server Pool select your server.

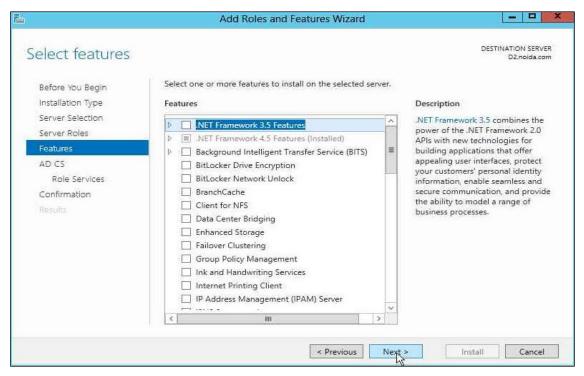


6. Click Next.

7. Select the Active Directory Certificate Services check box from the Server Roles.



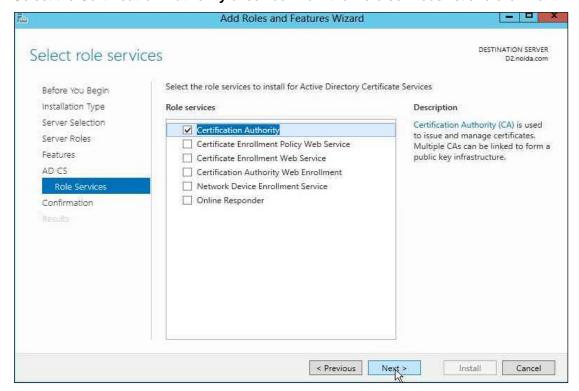
- 8. The Add features that are required for Active Directory Certificate Services? window displays. To add a feature, click the Add Features button.
- 9. Click **Next** to continue.
- 10. Click Next to continue.



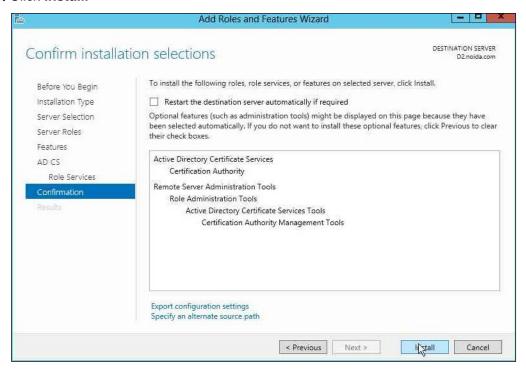
11. Click Next to continue.



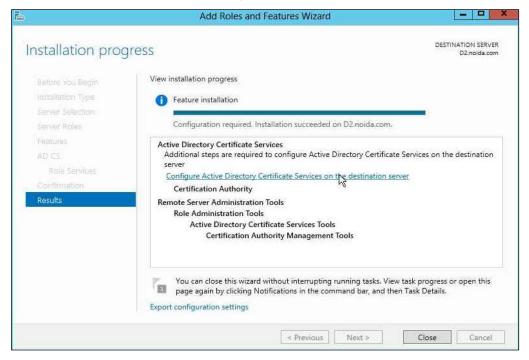
12. Select the Certification Authority check box from the Role services list and click Next.



13. Click Install.

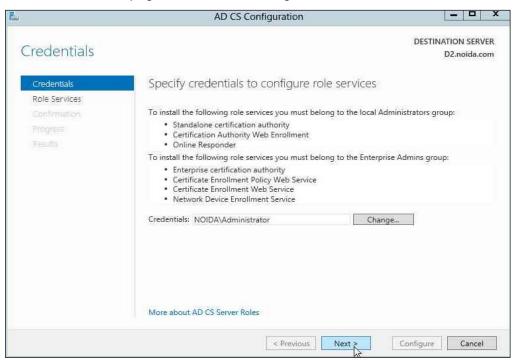


14. Once installation is complete, click the link Configure Active Directory Certificate Services on the destination server the AD CS Configuration wizard displays.

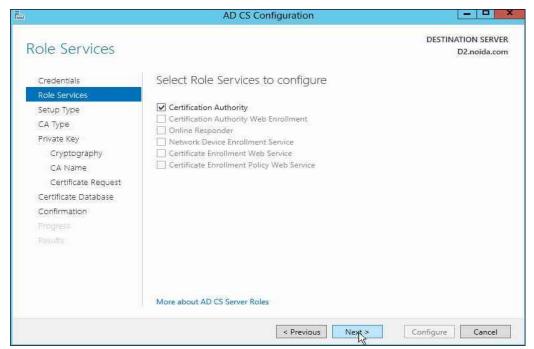


To configure the AD CS Role

1. On the Credentials page of the AD CS Configuration wizard click Next to continue.



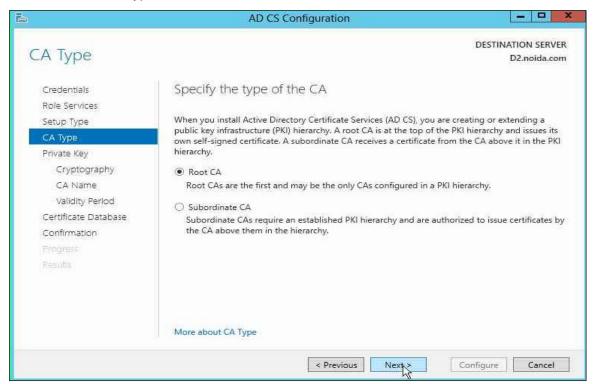
Select the Certification Authority check box and click Next.



3. Select Enterprise CA as Setup Type and click Next.



4. Select Root CA as type of CA and click Next.



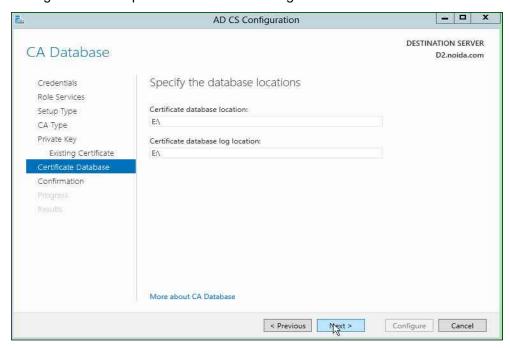
5. Select the Use existing private key radio button and choose the option Select a certificate and use its associated private key and click Next.



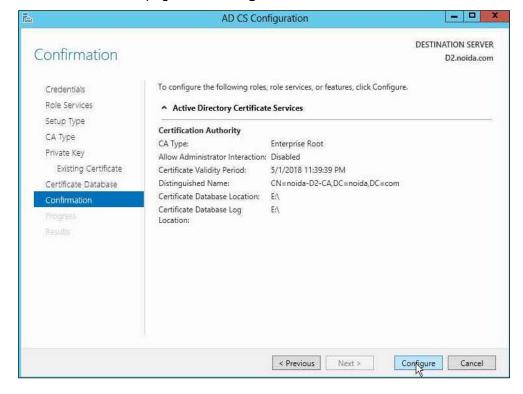
Select the CA certificate that was generated on the first node and click Next.



7. Change the default paths for the database log location. Click Next to continue.



- 8. A dialog box displays stating that an existing database was found displays, click **Yes** to overwrite.
- 9. On the Confirmation page click Configure.



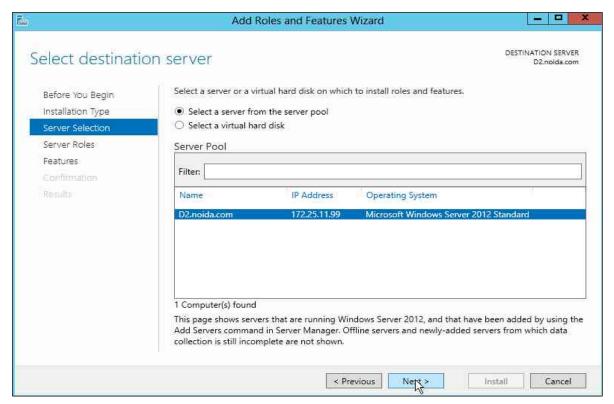
10. Click Close to finish the Role installation.

11. Log off of the cluster node two.

Set up the Failover Cluster feature on both the cluster nodes

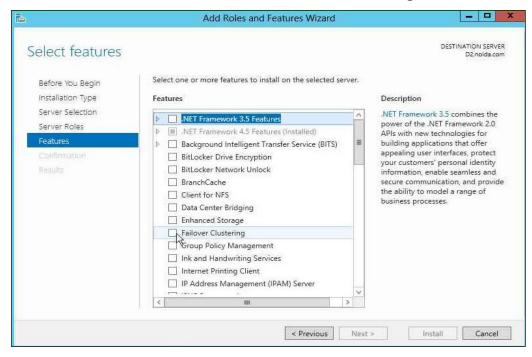
Repeat the following steps on both the cluster nodes:

- 1. Log on to the cluster nodes with local administrator permissions.
- 2. Open Server Manager under Configure this Local Sever and click Add Roles and Features.
- 3. The Add Roles and Features Wizard displays.
- 4. Click Next.
- 5. Select the Role-based or feature-based installation radio button and click Next.
- Select the Select a server from the server pool radio button option and from Server Pool select your server.

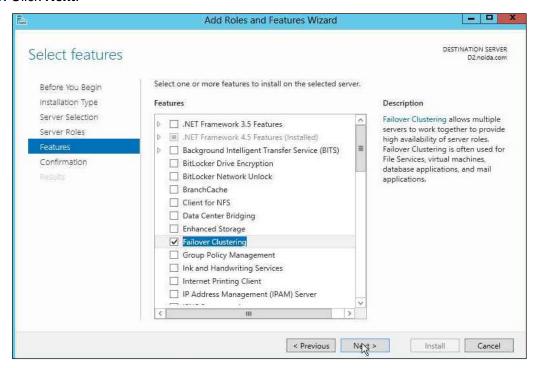


7. Click Next twice.

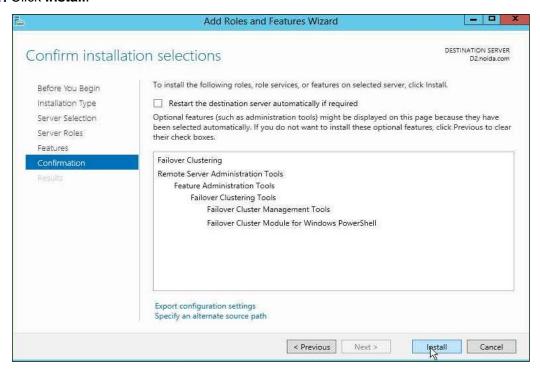
8. From the list of available features, select the Failover Clustering check box and click Next.



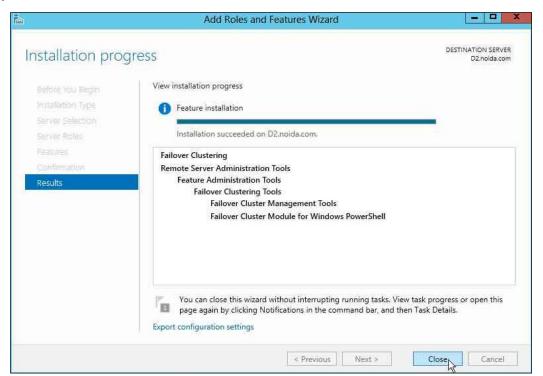
- **9.** A pop up displays stating **Add features that are required for Failover Clustering?** To add a feature, click the **Add Features** button.
- 10. Click Next.



11. Click Install.



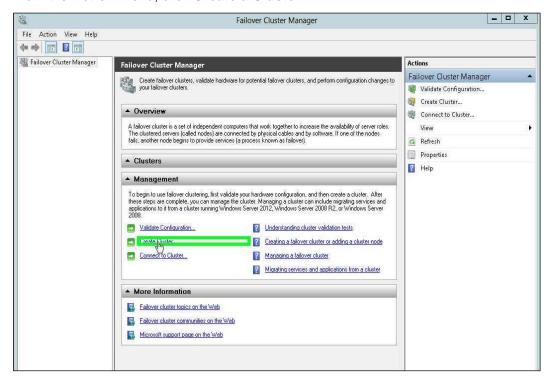
12. Click Close.



Create a Failover Cluster

To create a Failover Cluster:

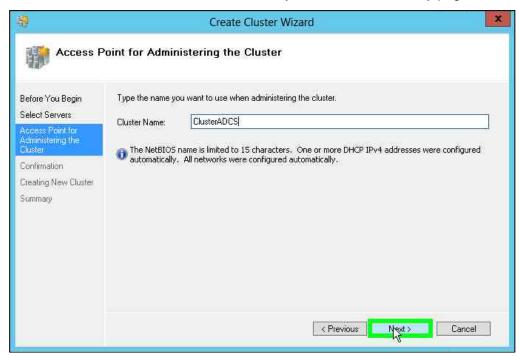
- 1. Log on to the cluster node where the storage is attached.
- 2. Open Server Manager, Click Tools and select Failover Cluster Manager.
- 3. From the Action menu, click Create a Cluster.



- 4. On the Before You Begin page, click Next.
- 5. Enter the cluster node name (computer name) of the first cluster node in the **Enter Server Name** field and click **Add**.
- 6. Enter the cluster node name of the second cluster node in the Enter Server Name field and click Add.

7. Click **Next** to continue.

8. Enter the Cluster Name and click Next until you reach the Summary page. .



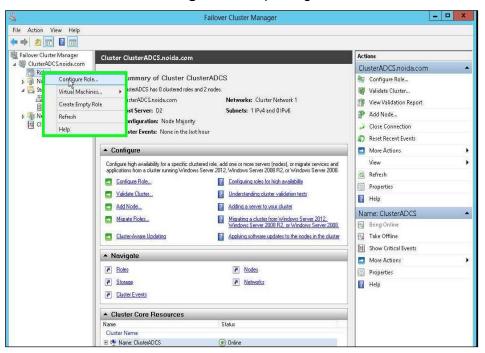
9. Verify the cluster configuration is appropriate and click Finish.



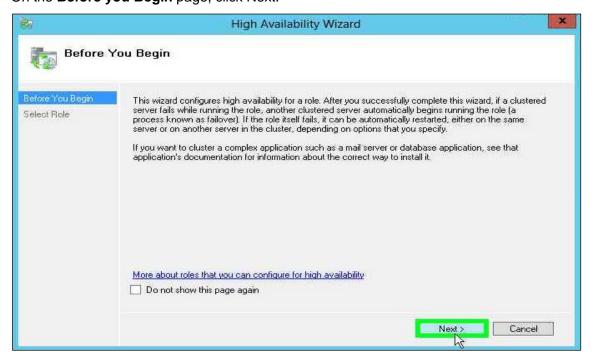
Configure ADCS Failover Cluster

You can configure an ADCS Failover configuration to support your certificate eservices. To configure the ADCS failover cluster:

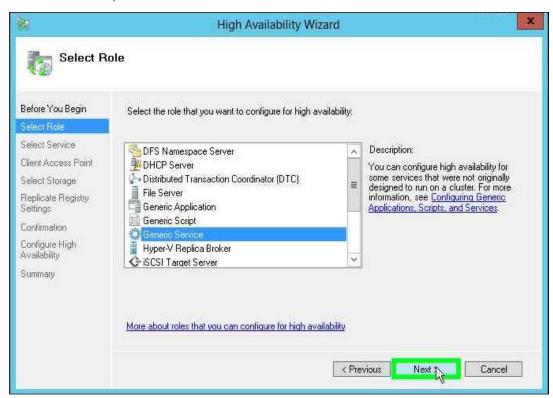
1. In the Failover Cluster Management snap-in, right-click Role and select Configure Role.



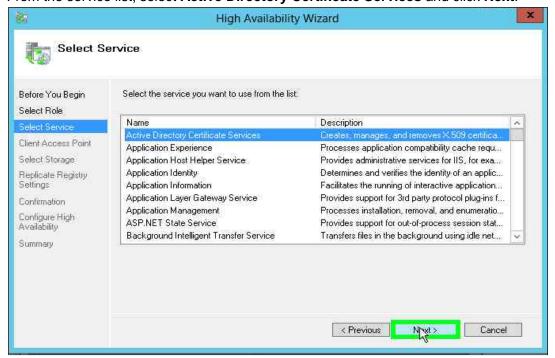
2. On the Before you Begin page, click Next.



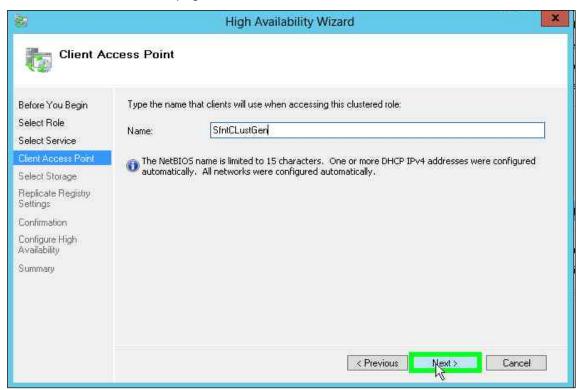
3. From the role list, select Generic Service and click Next.



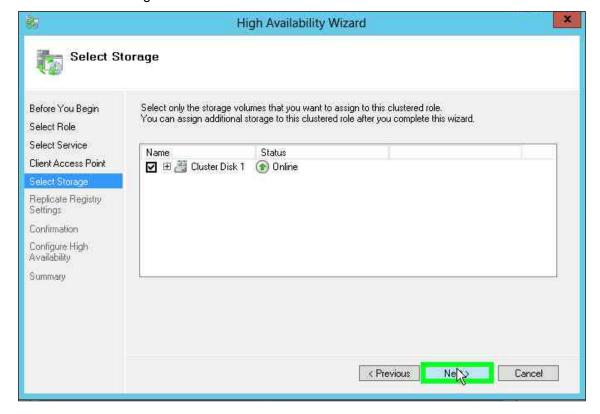
4. From the service list, select Active Directory Certificate Services and click Next.



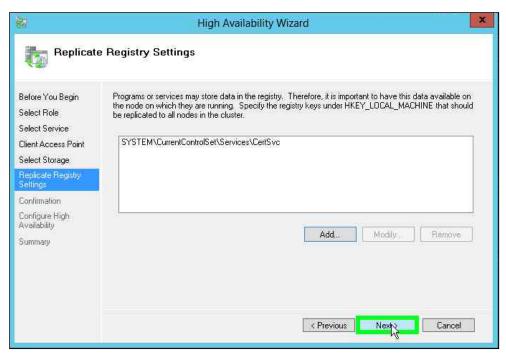
5. On the Client Access Point page enter the service name in the Name field and click Next.



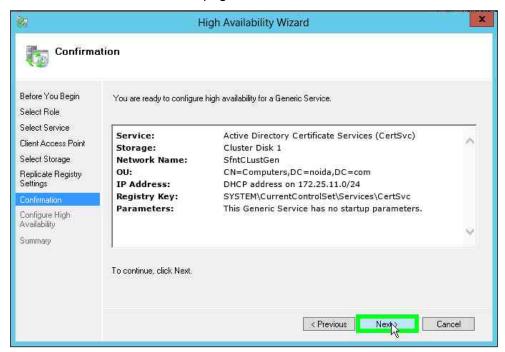
6. Select the disk storage that is still mounted to the node and click Next.



 Configure a shared registry hive, click the Add button, enter SYSTEM\CurrentControlSet\Services\CertSvc and click OK.



8. Click **Next** on the Confirmation page.



- 9. Click **Finish** to complete the failover configuration for certificate services.
- **10.** Open the Failover Cluster Manager and verify that the newly created service's **Status** is in the **Running** state.



NOTE: You need to run the *ksputil.exe* utility to migrate keys to the cluster. Please contact Customer Support, in case you do not have the ksputil.exe utility.

11. Execute the ksputil.exe utility to migrate the keys to the cluster.

 $\label{lem:ksputil} $$ksputil c /s <SlotNum> /t <CAClusterService_Name> /n <CA_Name> $$ Where,$

<SlotNum> - slot number

<CAClusterService_Name> - name of the CA Cluster service configured

<CA_Name> - name of the CA

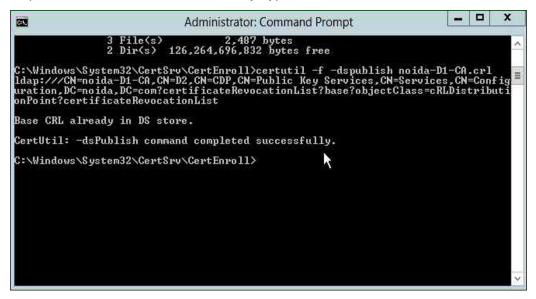
Create CRL objects in the Active Directory

The default AD permissions for the CA cluster do not permit publishing the CRL into the Active Directory. Alternatively, the user can create a CRL container to publish the CRL into the Active Directory.

You must use the certutil command with the –f option to create the CRL container. To create CRL objects in the Active Directory:

- 1. Log on to the active cluster node with enterprise permissions.
- 2. Click the **Start** button, point to **Run**, type **cmd**, and then click **OK**.
- 3. At the command line, type cd %WINDIR%\System32\CertSrv\CertEnroll and press Enter.

4. To publish the CRL into Active Directory, type certutil -f -dspublish {CRLfile}.

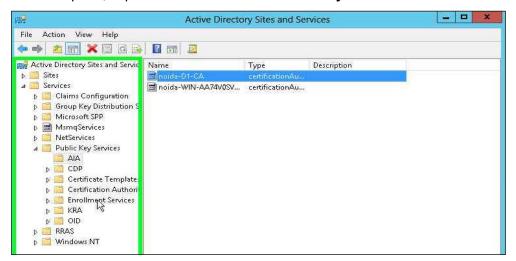


Modify CA configuration in Active Directory

The AIA object in Active Directory stores the CA's certificate. You can enable both the cluster nodes to update the CA certificate when required.

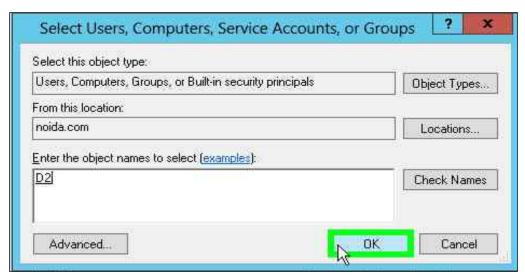
You can perform the following tasks from any computer in your Active Directory configuration where the Active Directory Sites and Services snap-in and ADSIEDIT is installed. To modify the CA configuration in the Active Directory:

- 1. Log on to the computer with enterprise permissions.
- 2. Click the **Start** button, point to **Run**, type **dssite.msc** and then click **OK**.
- 3. Select the top node in the left pane. In the **View** menu, select the **Show services** node.
- 4. In the left pane, expand the Services and Public Key Services and select AIA.

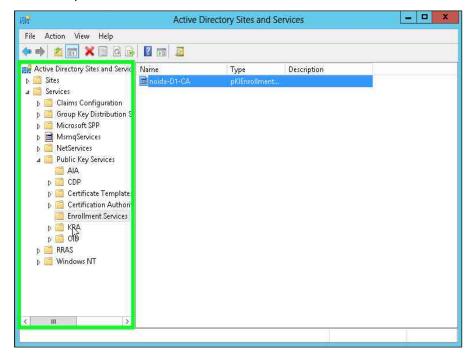


- 5. In the middle pane, select the CA name as it shows in the Certification Authority MMC snap-in.
- 6. From the Action menu select Properties. Click the Security tab and select Add....

- 7. Click Object Types and select the Computers check box and click OK.
- In the Enter the object names to select field enter the computer name of the second cluster node. Click OK.

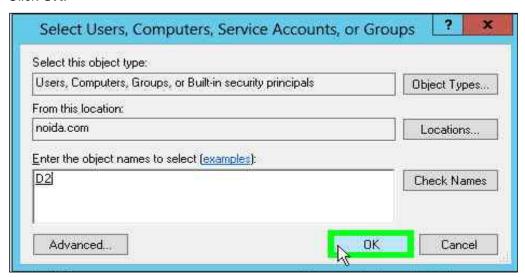


- 9. Ensure that the computer accounts of both the cluster nodes have Full Control permissions.
- 10. Click OK.
- 11. In the left pane, select Enrollment Services.

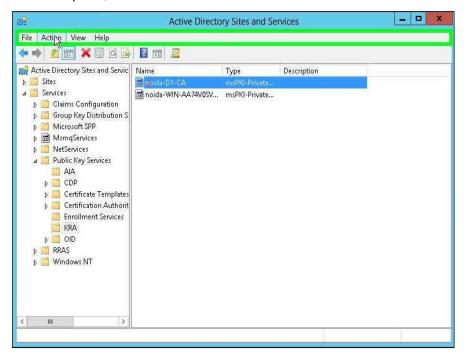


- **12.** In the middle pane, select the CA name.
- 13. From the Action menu, select Properties click the Security tab and select Add....

- 14. Click Object Types and select the Computers check box and click OK.
- **15.** In the **Enter the object names to select** field enter the computer name of the second cluster node. Click **OK**.

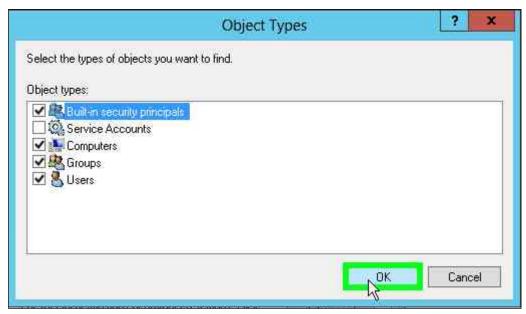


- 16. Ensure that the computer accounts of both the cluster nodes have Full Control permissions.
- 17. Click OK.
- 18. In the left pane, select KRA.

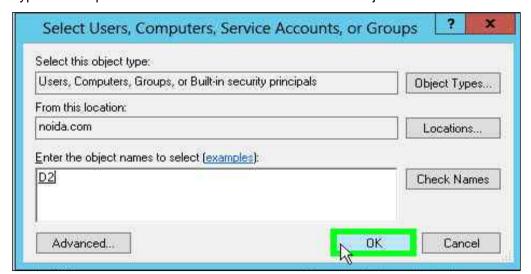


- 19. In the middle pane, select the CA name.
- 20. From the Action menu select Properties click the Security tab and select Add....

21. Click **Object Types** and select the **Computers** check box and click **OK**.



22. Type the computer name of the second cluster node as object name and click OK.



- 23. Verify that the computer accounts of both the cluster nodes have Full Control permissions.
- 24. Click OK.
- 25. Close the Sites and Services MMC snap-in

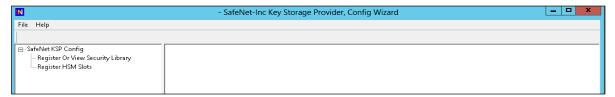
Migrating CA keys from Microsoft Software Key Storage Provider to SafeNet Key Storage Provider

This chapter outlines the steps to migrate a CA signing key from Microsoft software storage to the Luna HSM or HSM on Demand Service on Windows Server using the Ms2luna utility for both CSP and KSP.

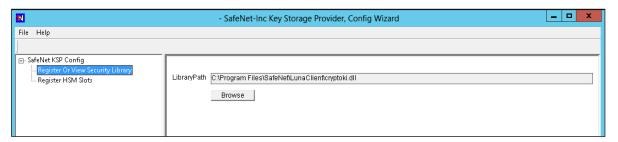
Configure SafeNet KSP

You must configure the SafeNet Key Storage Provider (KSP) to allow the user account and system to access the Luna HSM or HSM on Demand Service. If using a Luna HSM, the KSP package must be installed during the Luna Client software installation. If using an HSM on Demand (HSMoD) service, the KSP package is included in the HSMoD service client package inside of the **/KSP** folder.

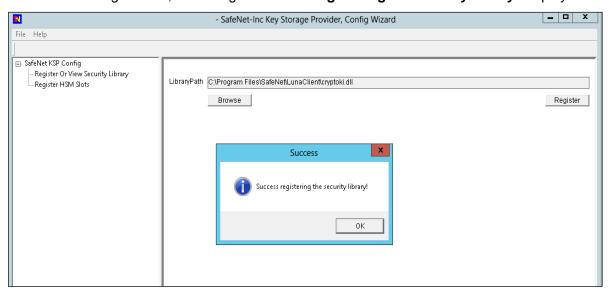
- 1. Navigate to the KSP installation directory.
- 2. Run the KspConfig.exe (KSP configuration wizard).
- 3. Double-click Register Or View Security Library on the left side of the pane.



 Browse the library cryptoki.dll from Luna Network HSM Client installation directory and click Register.

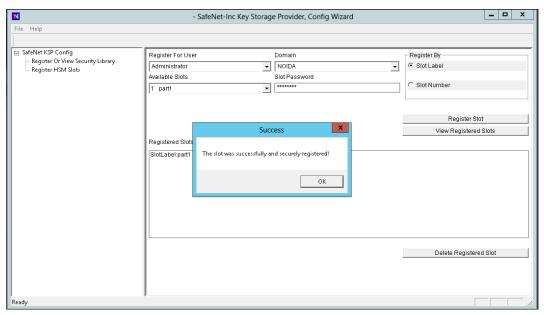


5. On successful registration, a message "Success registering the security library" displays.

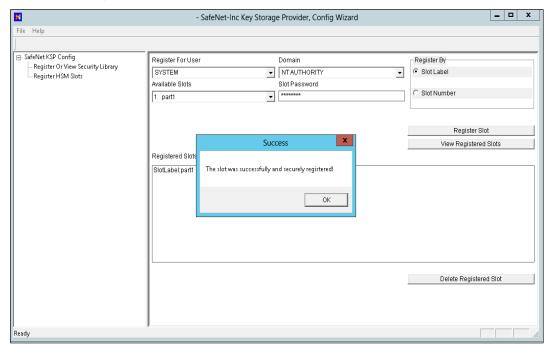


- 6. Double-click **Register HSM Slots** on the left side of the pane.
- 7. Enter the Slot (Partition) password.

8. Click **Register Slot** to register the slot for Domain\User. On successful registration, a message "**The slot was successfully and securely registered**" displays.



9. You need to register the same slot for NT AUTHORITY\SYSTEM.

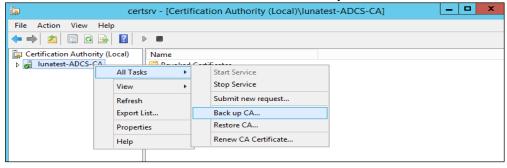


NOTE: Both slots have been registered, despite only one entry appearing for the service in the **Registered Slots** section of the KSP interface.

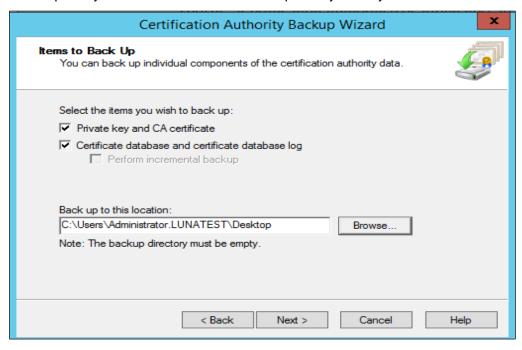
Back up the CA

You can enable and configure the location where the CA backup files will be stored using the Active Directory certificate services management console. To back up the CA:

- 1. Click the Start button, click Run, type certsrv.msc, and then click OK.
- 2. Select the CA node in the left pane.
- 3. On the Action menu, click All Tasks and then Backup CA.



- 4. Click **Next** on the Welcome page of the CA backup wizard.
- 5. Select the **Private key and CA certificate** check box and provide a directory name where the system will temporarily store the CA certificate and optionally the key. Click **Next**.



- **6.** Provide a password to protect the CA key and click **Next**.
- 7. Click Finish.

Migrate a MS CA onto a Luna HSM or HSM on Demand service using ms2Luna

The Keys stored in the Software is not secure and can be compromised anytime. So to enforce operational and logical security of the CA it is required to be migrated onto HSM. Also migration ensures that the same

key created in previous section is used for verification of CA. To migrate a MS CA onto a Luna HSM using ms2Luna:

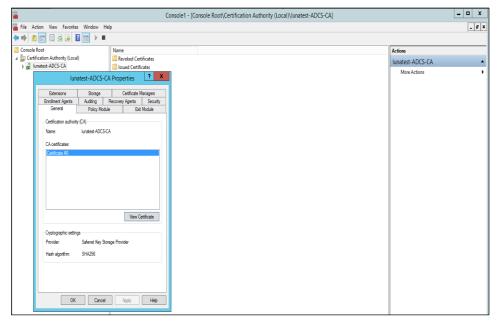
- 1. Copy the CA certificate thumbprint.
- 2. Open a command prompt and run ms2Luna.exe from "<SafeNet HSM Client installation Directory>/KSP directory" in case of KSP registration.

NOTE: You need to register slot using KSP before migrating MSCA to Luna HSM.

3. Enter the Thumbprint of CA certificate and press Enter.



4. Verify that CA provider changes to **SafeNet Key Storage Provider**.



5. Uninstall the existing CA that the key was removed from.

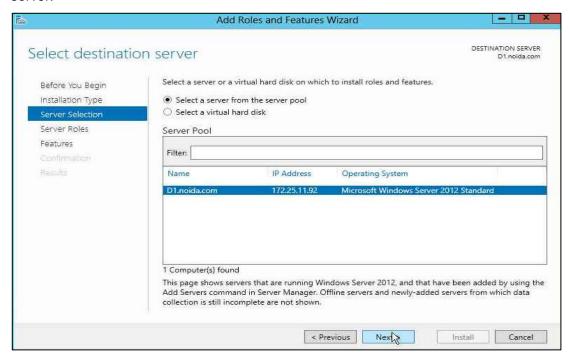
Install Microsoft Active Directory Certificate Services on Windows Server using SafeNet Key Storage Provider with migrated key

To install the Microsoft Active Directory Certificate Services software:

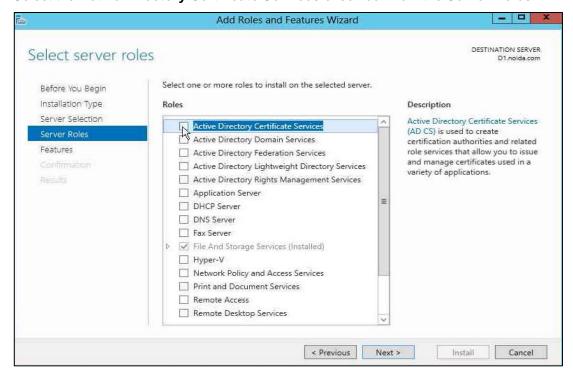
- 1. Log in as an Enterprise Admin/Domain Admin with Administrative privileges.
- 2. Open Server Manager under Configure this Local Server and click Add Roles and Features.
- 3. The Add Roles and Features Wizard displays.
- 4. On the Before you Begin page click Next.
- 5. Select the Role-based or feature-based installation radio button and click Next.



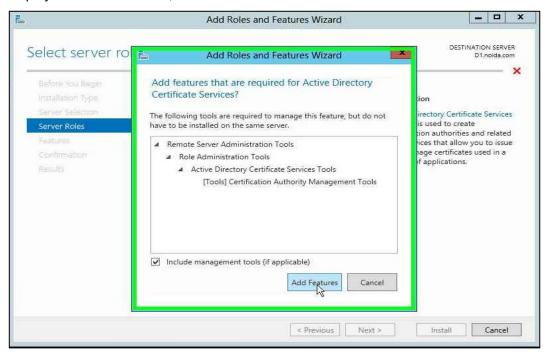
Select the Select a server from the server pool radio button and from Server Pool select your server.



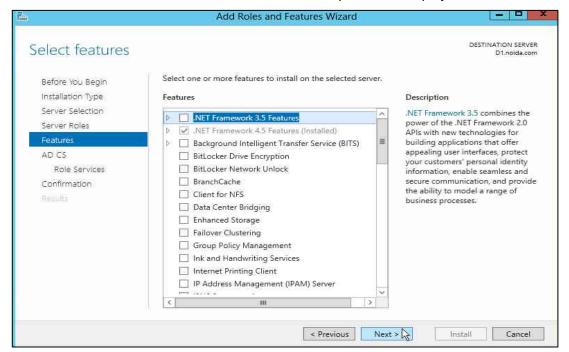
- 7. Click Next.
- 8. Select the Active Directory Certificate Services check box from the Server Roles.



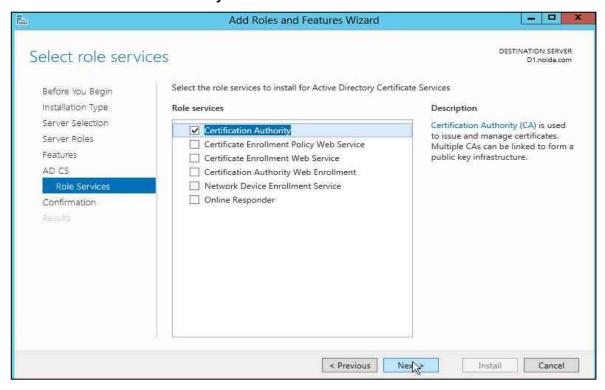
A window stating "Add features that are required for Active Directory Certificate Services?" displays. To add a feature, click Add Features.



10. Click Next twice to continue until the Role Services options are displayed.



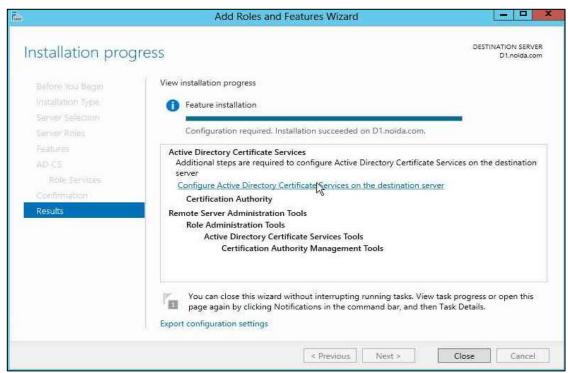
11. Select the Certification Authority check box from the Role services list and click Next.



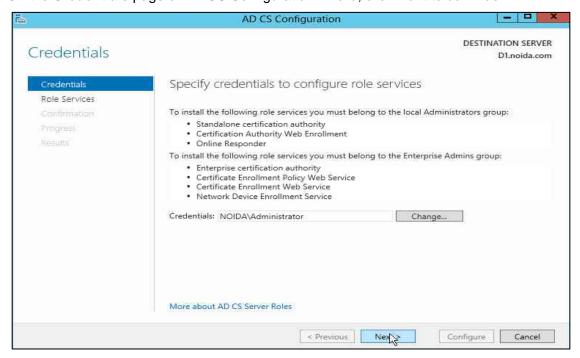
12. Verify that the role you are about to install is appropriate and click **Install**.



13. Once installation is complete, click the link **Configure Active Directory Certificate Services on the destination server** it opens AD CS Configuration wizard.

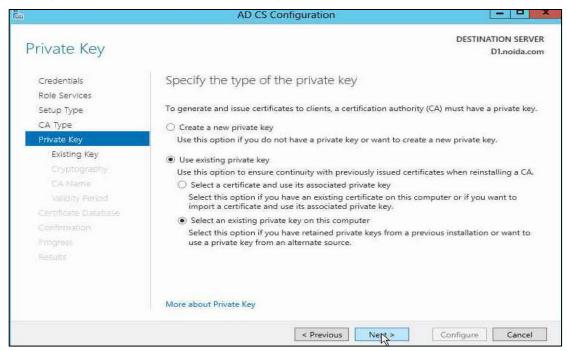


14. On the Credentials page of AD CS Configuration wizard, click Next to continue.

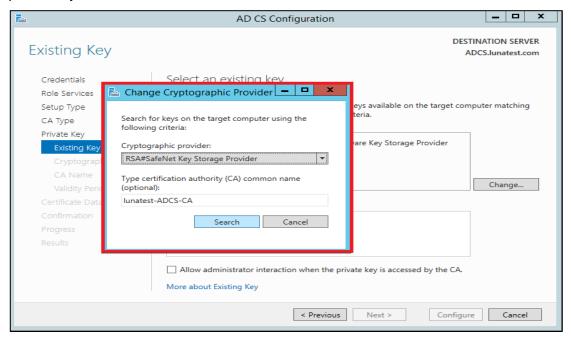


15. Select the Certification Authority check box and click Next.

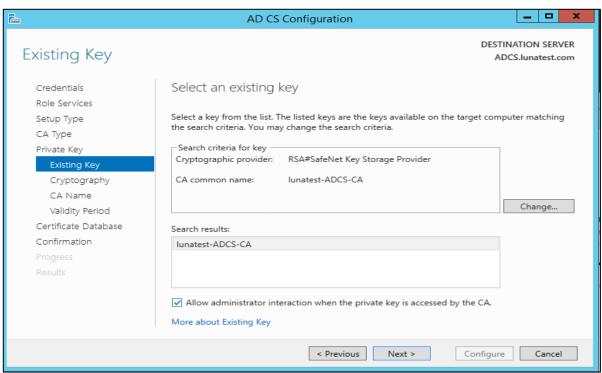
- 16. Select the Enterprise CA radio button and click Next.
- 17. Select the Root CA radio button and click Next.
- 18. Proceed to setup the **Private Key** for CA to generate and issue certificates to clients. Select **Use**existing private key and Select an existing private key on this computer. Click Next to continue.



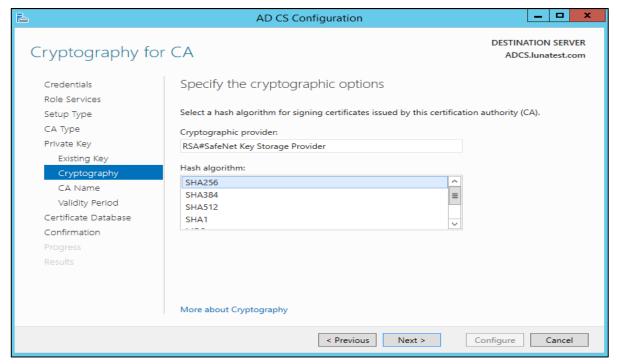
19. Click **Change...**. Select the **SafeNet Key Storage Provider** algorithm that you used to generate the private keys. Clear the CA Common name. Click **Search**.



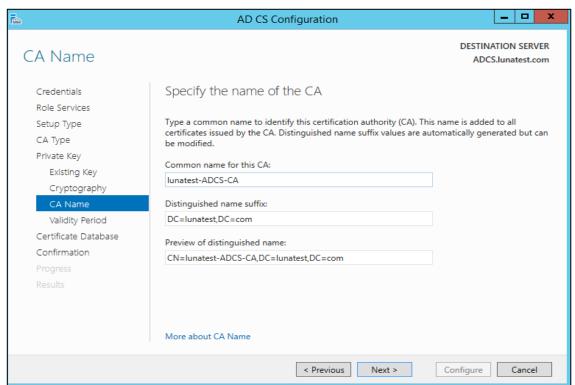
20. Select the existing key and click **Next**. Select the **Allow administrator interaction when the private key is accessed by the CA** check box.



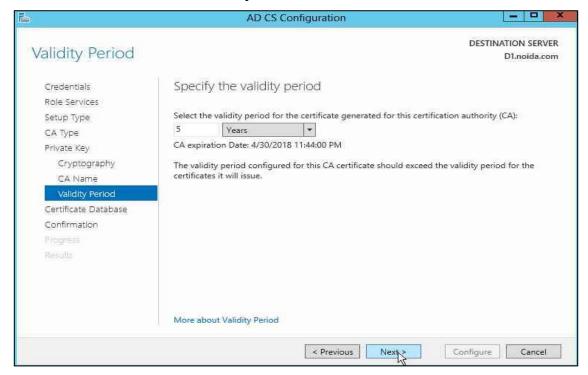
21. Select the **Hash Algorithm** for signing certificates issued by this Certificate Authority and key length settings for your installation.



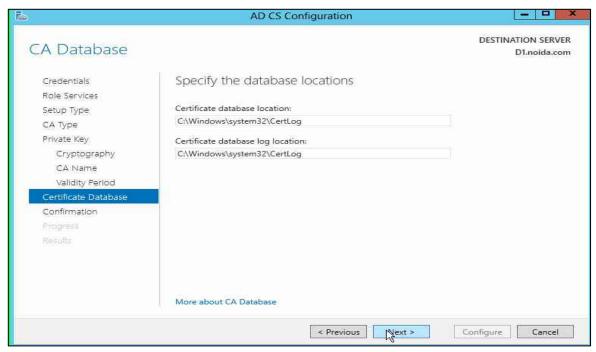
- 22. Click Next to continue.
- 23. Configure a common name to identify this Certificate Authority. Click **Next** to continue.



24. Proceed to set the Certificate Validity Period. Click Next to continue.



25. Configure the **Certificate Database**. It records all the certificate requests, issued certificates, and revoked or expired certificates. Click **Next** to continue.

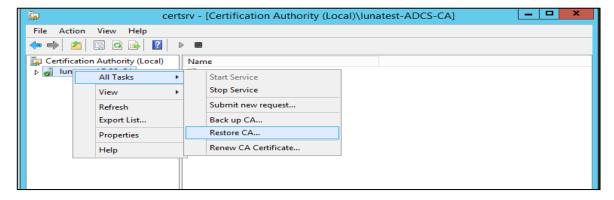


- **26.** Click **Configure** to configure the selected roles, role services, or features.
- **27.** Click **Close** to exit the **AD CS Configuration** wizard after viewing the installation results. After successful installation, the CA certificate must be imported.

Restore an MS CA

You can restore a backed-up MS CA user account. To restore an MS CA:

- 1. Click the Start button, click Run, type certsrv.msc, and then click OK.
- 2. Select the CA node in the left pane.
- 3. On the Action menu, click All Tasks and then Restore CA.



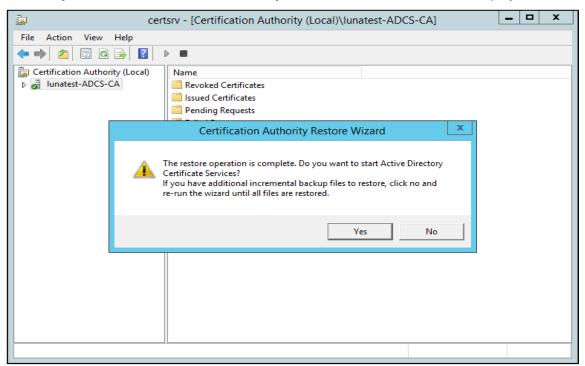
- 4. Click Next on the Welcome page of the CA Restore wizard.
- 5. Select the **Certificate database and certificate database log** check box and provide a directory name where you want to temporarily store the CA certificate and optionally the key. Click **Next**.



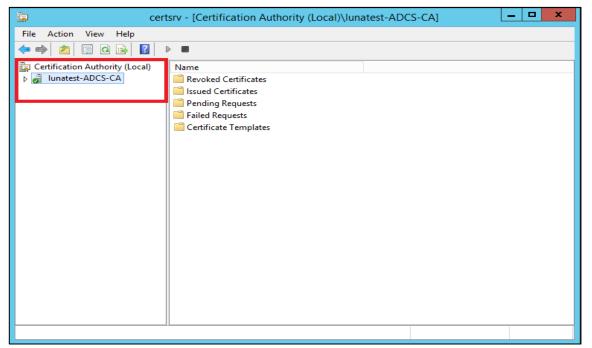
6. Enter password to protect the CA key and click **Next**.



- 7. Click Finish.
- 8. The "Do you want to start Active directory certificate services" window displays. Click Yes.



9. Verify that **Active Directory Services** has been successfully restarted.



Contacting Customer Support

If you encounter a problem while installing, registering, or operating this product, refer to the documentation. If you cannot resolve the issue, contact your supplier or <u>Thales Customer Support</u>. Thales Customer Support operates 24 hours a day, 7 days a week. Your level of access to this service is governed by the support plan arrangements made between Thales and your organization. Please consult this support plan for further information about your entitlements, including the hours when telephone support is available to you.

Customer Support Portal

The Customer Support Portal, at https://supportportal.thalesgroup.com, is a database where you can find solutions for most common problems. The Customer Support Portal is a comprehensive, fully searchable repository of support resources, including software and firmware downloads, release notes listing known problems and workarounds, a knowledge base, FAQs, product documentation, technical notes, and more. You can also use the portal to create and manage support cases.

NOTE: You require an account to access the Customer Support Portal. To create a new account, go to the portal and click on the **REGISTER** link.

Telephone Support

If you have an urgent problem, or cannot access the Customer Support Portal, you can contact Thales Customer Support by telephone at +1 410-931-7520. Additional local telephone support numbers are listed on the support portal.

Email Support

You can also contact technical support by email at technical.support.DIS@thalesgroup.com.