THALES

Oracle Key Vault: Integration Guide

THALES LUNA HSM AND LUNA CLOUD HSM

Document Information

Document Part Number	007-000318-001
Revision	G
Release Date	31 January 2023

Trademarks, Copyrights, and Third-Party Software

Copyright © 2023 Thales Group. All rights reserved. Thales and the Thales logo are trademarks and service marks of Thales Group and/or its subsidiaries and are registered in certain countries. All other trademarks and service marks, whether registered or not in specific countries, are the property of their respective owners.

CONTENTS

Overview	4
Certified Platforms	4
Certified platforms for Luna HSM	4
Certified platforms for Luna Cloud HSM	5
Prerequisites	5
Configure Luna HSM	5
Configure Luna Cloud HSM service	7
Set up Oracle Key Vault	10
Configuring Oracle Key Vault to use Thales Luna HSM	10
Configure Oracle Key Vault to use Luna HSM	10
Upgrade considerations when Luna HSM is configured	14
Backing up and restoring Oracle Key Vault in HSM Mode	17
Back up Oracle Key Vault in HSM mode	18
Restore Oracle Key Vault in HSM mode	19
Enable Luna HSM in OKV Multi-Master Cluster	21
Configure Luna HSM for a multi-master cluster starting with single node (recommended)	21
Configure Luna HSM for a multi-master cluster with multiples nodes	27
Contacting customer support	
Customer support portal	
Telephone support	

Overview

This document guides security administrators through the steps for integrating Oracle Key Vault with Luna HSM or Luna Cloud HSM. You can use Luna HSM to secure the Root of Trust (RoT) for Oracle Key Vault. The Luna HSM RoT protects the wallet password, which protects the TDE master key, which in turn protects all the encryption keys, certificates, and other security artifacts managed by Oracle Key Vault. The Luna HSM does not store any customer encryption keys. The customer keys are stored and managed directly by the Oracle Key Vault server.

The benefits of securing the cryptographic keys with Luna HSMs include:

- > Secure generation, storage, and protection of the keys on FIPS 140-2 level 3 validated hardware.
- > Full life cycle management of the keys.
- > HSM audit trail*.
- Significant performance improvements by off-loading cryptographic operations from application servers.

*Luna Cloud HSM service does not have access to the secure audit trail

Certified Platforms

- > Certified platforms for Luna HSM
- > Certified platforms for Luna Cloud HSM

Certified platforms for Luna HSM

The following platforms are certified for integrating Oracle Key Vault with Luna HSM:

HSM Type	Platforms Certified	Luna Client Version
Luna HSM	Oracle Key Vault v21.5.0.0.0 Oracle Key Vault v21.4.0.0.0 Oracle Key Vault v21.3.0.0.0 with Multi-Master Cluster	UC 10.4
	Oracle Key Vault v21.1.0.0.0	UC 10.3
	Oracle Key Vault v18.5.0.0.0 with Multi-Master Cluster Oracle Key Vault v18.4.0.0.0 Oracle Key Vault v18.1.0.0.0 Oracle Key Vault v12.2.0.8	UC 10.2

NOTE: This integration is tested in both HA mode and FIPS mode.

Luna HSM: Luna HSM appliances are purposefully designed to provide a balance of security, high performance, and usability that makes them an ideal choice for enterprise, financial, and government organizations. Luna HSMs physically and logically secure cryptographic keys and accelerate cryptographic

processing. The Luna HSM on premise offerings include the Luna Network HSM, Luna PCIe HSM, and Luna USB HSMs. Luna HSMs are also available for access as an offering from cloud service providers such as IBM cloud HSM and AWS cloud HSM classic.

Certified platforms for Luna Cloud HSM

The following platforms are certified for integrating Oracle Key Vault with Luna Cloud HSM:

HSM Туре	Platforms Certified
Luna Cloud HSM	Oracle Key Vault v21.1.0.0.0

Luna Cloud HSM: Luna Cloud HSM platform provides on-demand, cloud-based HSM and Key Management services through a simple graphical user interface. With Luna Cloud HSM, security is simple, cost effective and easy to manage because there is no hardware to buy, deploy and maintain. As an Application Owner, you click and deploy services, generate usage reports and maintain just the services you need.

Prerequisites

Before beginning the integration, ensure you have completed the following tasks:

- > Configure Luna HSM
- > Configure Luna Cloud HSM service
- > Set up Oracle Key Vault

Configure Luna HSM

To configure Luna HSM:

- 1. Ensure the HSM is setup, initialized, provisioned, and ready for deployment.
- 2. Create a partition on the HSM for use by Oracle Key Vault.
- 3. Create and exchange certificate between the Luna Network HSM and Client system. Register client and assign partition to create an NTLS connection. Initialize Crypto Officer and Crypto User roles for the registered partition.
- 4. Verify that the partition is successfully registered and configured. The command to see the registered partition is:

/usr/safenet/lunaclient/bin/lunacm

You should see the following output:

```
lunacm (64-bit) v10.4.0-417. Copyright (c) 2021 SafeNet. All rights
reserved.
Available HSMs:
Slot Id -> 0
Label -> TPA01
Serial Number -> 1312109862206
```

Model -> LunaSA 7.7.1
Firmware Version -> 7.7.1
Bootloader Version -> 1.1.2
Configuration -> Luna User Partition With SO (PW) Key Export
With Cloning Mode
Slot Description -> Net Token Slot
FM HW Status -> Non-FM
Current Slot Id: 0

5. For PED-authenticated HSM, enable partition policies 22 and 23 to allow activation and auto-activation.

NOTE: Follow the <u>Luna HSM documentation</u> for detailed steps for creating NTLS connection, initializing the partitions, and managing various user roles.

To control user access to HSM

NOTE: This section is applicable only for Linux users.

By default, only the root user has access to the HSM. You can specify a set of non-root users that are permitted to access the HSM, by adding them to the hsmusers group. The client software installation automatically creates the hsmusers group. The hsmusers group is retained when you uninstall the client software, allowing you to upgrade the software while retaining your hsmusers group configuration.

To add a user to hsmusers group

To allow non-root users or applications access to the HSM, assign the users to the hsmusers group. The users you assign to the hsmusers group must exist on the client workstation.

- 1. Ensure that you have sudo privileges on the client workstation.
- 2. Add a user to the hsmusers group.
 - # sudo gpasswd --add <username> hsmusers

Where <username> is the name of the user you want to add to the hsmusers group.

To remove a user from hsmusers group

- 1. Ensure that you have sudo privileges on the client workstation.
- 2. Remove a user from the hsmusers group.
 - # sudo gpasswd -d <username> hsmusers

Where <username> is the name of the user you want to remove from the hsmusers group.

NOTE: The removed user will have HSM access until you reboot the client workstation.

To set up Luna HSM High-Availability (HA)

Refer to the Luna HSM documentation for HA steps and details regarding configuring and setting up two or more HSM appliances on UNIX systems. You must enable the HAOnly setting in HA for failover to work so that if the primary stop functioning for some reason, all calls are automatically routed to secondary till primary starts functioning again.

Configure Luna Cloud HSM service

You can configure Luna Cloud HSM Service in the following ways:

- > Standalone Cloud HSM service using minimum client package
- > Standalone Cloud HSM service using full Luna client package
- > Luna HSM and Luna Cloud HSM service in hybrid mode

NOTE: Luna Client v10.x or higher is required for configuring Luna HSM device and Luna Cloud HSM service in hybrid mode.

Standalone Cloud HSM service using minimum client package

To configure Luna Cloud HSM service using minimum client package:

- 1. Transfer the downloaded .zip file to your Client workstation using pscp, scp, or other secure means.
- 2. Login as root to the Oracle Key Vault server and create a directory /usr/safenet/lunaclient.

```
# mkdir -p /usr/safenet/lunaclient
```

- 3. Extract the .zip file into a /usr/safenet/lunaclient directory created above on your client workstation.
- 4. Extract or untar the appropriate client package for your operating system. Do not extract to a new subdirectory; place the files in the client install directory.

[Linux] cvclient-min.tar

tar -xvf cvclient-min.tar

5. Run the setenv script to create a new configuration file containing information required by the Luna Cloud HSM service.

```
[Linux]
Source the setenv script.
# source ./setenv
```

6. Run the LunaCM utility and verify that the Cloud HSM service is listed.

NOTE: Follow the <u>Luna Cloud HSM documentation</u> for detailed steps for creating service, client, and initializing various user roles.

7. Create a directory /usr/safenet/lunaclient/lib/.

```
# mkdir /usr/safenet/lunaclient/lib/
```

8. Create a soft link of /usr/safenet/lunaclient/libs/64/libCryptoki2.so library to /usr/safenet/lunaclient/lib/libCryptoki2 64.so.

```
# ln -svf /usr/safenet/lunaclient/libs/64/libCryptoki2.so
/usr/safenet/lunaclient/lib/libCryptoki2_64.so
```

9. Open the Chrystoki.conf file in file editor and update the Chrystoki2 section as follows:

```
Chrystoki2 = {
  LibUNIX = /usr/safenet/lunaclient/lib/libCryptoki2.so;
  LibUNIX64 = /usr/safenet/lunaclient/lib/libCryptoki2_64.so;
}
```

10. Copy the Chrystoki.conf file to /etc directory.

```
# cp /usr/safenet/lunaclient/Chrystoki.conf /etc/
```

Standalone Cloud HSM service using full Luna client package

To configure Luna Cloud HSM service using full Luna client package:

- 1. Transfer the downloaded .zip file to your Client workstation using pscp, scp, or other secure means.
- 2. Extract the .zip file into a directory on your client workstation.
- **3.** Extract or untar the appropriate client package for your operating system. Do not extract to a new subdirectory. Place the files in the client install directory.

```
[Linux]
cvclient-min.tar
# tar -xvf cvclient-min.tar
```

4. Run the setenv script to create a new configuration file containing information required by the Luna Cloud HSM service.

```
[Linux]
Source the setenv script.
```

source ./setenv

5. Copy the server and partition certificates from the Cloud HSM service client directory to Luna client certificates directory:

NOTE: Skip this step for Luna Client v10.2 or higher.

Cloud HSM Certificates

```
server-certificate.pem
```

partition-ca-certificate.pem

partition-certificate.pem

LunaClient Certificate Directory (Linux default location for Luna Client)

```
/usr/safenet/lunaclient/cert/
```

6. Open the configuration file from the Cloud HSM service client directory and copy the XTC and REST section.

[Linux]

Chrystoki.conf

- 7. Edit the Luna Client configuration file and add the XTC and REST sections copied from Cloud HSM service client configuration file.
- 8. Change server and partition certificates path from step 5 in XTC and REST sections. Do not change any other entries provided in these sections.

NOTE: Skip this step for Luna Client v10.2 or higher.

```
[XTC]
```

```
PartitionCAPath=<LunaClient_cert_directory>\partition-ca-certificate.pem
PartitionCertPath00=<LunaClient_cert_directory>\partition-certificate.pem
. . .
[REST]
. . .
SSLClientSideVerifyFile=<LunaClient_cert_directory>\server-certificate.pem
. . .
```

9. Edit the following entry from the Misc section and update the correct path for the plugins directory:

```
Misc]
PluginModuleDir=<LunaClient_plugins_directory>
[Linux Default]
```

/usr/safenet/lunaclient/plugins/

- **10.** Save the configuration file. If you wish, you can now safely delete the extracted Cloud HSM service client directory.
- 11. Reset the ChrystokiConfigurationPath environment variable and point back to the location of the Luna Client configuration file.

[Linux]

Either open a new shell session, or export the environment variable for the current session pointing to the location of the Chrystoki.conf file:

export ChrystokiConfigurationPath=/etc/

12. Run the LunaCM utility and verify that the Cloud HSM service is listed. In hybrid mode, both Luna and Cloud HSM service will be listed.

NOTE: Refer to <u>Luna Cloud HSM documentation</u> for detailed steps for creating service, client, and initializing various user roles.

To configure Luna HSM and Luna Cloud HSM service in hybrid mode

To configure Luna HSM and Luna Cloud HSM service in hybrid mode, follow the steps mentioned under the <u>Standalone Cloud HSM service using full Luna client package</u> section above.

NOTE: Luna Client v10.x or higher is required for configuring Luna HSM device and Luna Cloud HSM service in hybrid mode.

To use Luna Cloud HSM Service in FIPS mode

Cloud HSM service operates in both FIPS and non-FIPS mode. If your organization requires non-FIPS algorithms for your operations, enable the Allow non-FIPS approved algorithms check box when configuring your Cloud HSM service. The FIPS mode is enabled by default. Refer to the Mechanism List in the SDK Reference Guide for more information about available FIPS and non-FIPS algorithms.

Set up Oracle Key Vault

Oracle Key Vault is a software appliance that is delivered as an ISO image. It is recommended that you should install Oracle Key Vault on its own dedicated physical server. The Oracle Key Vault ISO image consists of a pre-configured operating system, an Oracle database, and the Oracle Key Vault application. For detailed information about installing Oracle Key Vault, refer to <u>Oracle Key Vault Documentation</u>.

Configuring Oracle Key Vault to use Thales Luna HSM

This section demonstrates how to initialize Luna HSM so that a root of trust (RoT) can be created and used by Oracle Key Vault. It is assumed that you have followed the guidelines explained in the <u>Prerequisites</u> section and ensured that Luna Client is connected with Luna HSM on Oracle Key Vault.

Configure Oracle Key Vault to use Luna HSM

NOTE: The steps explained here are valid for new Oracle Key Vault installation as well as for upgradation from a lower version of Oracle Key Vault to Oracle Key Vault 21.x. Execute the steps as per your Oracle Key Vault and Luna Client versions.

1. Login to Oracle Key Vault server through SSH as user support, and switch user (su) to root.

NOTE: Skip steps 1-9 if you are using Luna Client v6.x or Standalone Luna Cloud HSM service with minimum client package.

- 2. Add the Oracle user to the hsmusers group.
 - # gpasswd --add oracle hsmusers
- 3. Reboot the Oracle Key Vault for the changes to take effect.
 - # reboot
- 4. Login to Oracle Key Vault server through SSH as user support, and switch user (su) to root.

NOTE: Skip steps 4-9 and start directly from step 10 if you are using Oracle Key Vault v21.2 or lower. These steps are applicable for Oracle Key Vault v21.3 onwards.

5. From Oracle Key Vault v21.3 onwards, Oracle provides an interface to enable your Luna HSM using Luna client other than v6.x. Go to the following directory:

```
# cd /usr/local/okv/hsm/generic
```

6. Open the okv_hsm.conf file in file editor and update as follows:

Note: All parameters are required but <code>PRESERVED_FILES</code> parameter will not be used until the next major version upgrade.

The vendor name, to be displayed on the HSM page on the management console.

VENDOR_NAME="Thales_Luna"

The location of the PKCS#11 library. This file must be preserved on upgrade.

PKCS11_LIB_LOC="/usr/safenet/lunaclient/lib/libCryptoki2_64.so"

A colon-separated list of the full paths of files and directories that must be preserved on upgrade.

```
PRESERVED_FILES="/usr/safenet/lunaclient:/etc/Chrystoki.conf:/usr/safe
net/lunaclient/lib/libCryptoki2 64.so"
```

7. Open the okv_hsm_env file in file editor and update as follows:

```
# Below is an example. Remove the '#' character to uncomment the line.
#EXAMPLE ENV VAR NAME="EXAMPLE ENV VAR VALUE"
```

ChrystokiConfigurationPath="/etc"

8. Open the okv_hsm_mid_upgrade file in file editor and update as follows:

NOTE: This script is used during upgrades only, when you upgrade the Oracle Key Vault. If you are upgrading from Oracle Key Vault v21.3 to any above version. You must refer the Upgrade considerations when Luna HSM is configured.

```
sudo groupadd hsmusers
exit ${DBFW_PM_CHANGED}
# Until changes are added, exit indicating that no changes occurred.
#exit ${DBFW_PM_NO_CHANGE_REQUIRED}
# If changes are made and were successful, you should exit like the
commented code below:
#exit ${DBFW_PM_CHANGED}
```

- 9. Reboot the Oracle Key Vault for the changes to take effect.
 - # reboot
- 10. Oracle Key Vault provides a management console which can be accessed through https://<Oracle_Key_Vault_Server_IP> in the web browser.
- **11.** Log in to the Oracle Key Vault management console as a user with system administrative privileges.

NOTE: The System Admin user credentials are created during Oracle Key Vault installation and configuration.

- 12. Click the System tab.
- **13.** For Oracle Key Vault v21.1 or above, click **Settings** in the left sidebar. Under **Network Services**, click **HSM**. For Oracle Key Vault v18.5 or below in the left sidebar, click **Hardware Security Module**.
- 14. At this point, the HSM is not initialized. You will see a red arrow pointing down in the Status field.

🔂 Home	🖵 Endpoints	🔇 Keys & Wallets	🕮 Reports	🞗 Users	🗏 System	🔀 Cluster	
Last Refreshed Time: 18-MAR-2021 16:24:16 [All times UTC +05:30 hours]							
System	Hardware	lardware Security Module					
Status		-					
Settings	Status	0					
Hardware Security Module	Туре	None					

- **15.** Click **Initialize**. The **Initialize HSM** dialog will appear on the screen.
- 16. Open the Vendor drop-down menu and select the Vendor.

For Oracle Key Vault v21.3 onwards, when using Luna Client other than v6.x, you must choose Vendor as per the VENDOR_NAME defined in okv_hsm.conf file.

For Oracle Key Vault v21.2 or below, choose **Thales** as a Vendor listed at top position.

```
NOTE: In earlier versions of Oracle Key Vault (18.4 or lower), Select the SafeNet in Vendor drop-down menu, Thales is available since Oracle Key Vault v18.5 onwards.
```

17. Enter the HSM Credential and Recovery Passphrase. The HSM Credential is the partition password. The Recovery Passphrase was set during the post Installation setup of Oracle Key Vault. Select the Use Token Label checkbox and then enter the Token Label.

NOTE: Earlier versions of Oracle Key Vault (18.3 or lower) do not support using the Token Label. From Oracle Key Vault 18.4 onwards, use Token Label and provide the name of the desired partition to use, even if multiple partitions are registered.

Initialize HSM		\otimes
		Cancel
Vendor	Thales_Luna 🗸	
HSM Credential	•••••	
Re-enter HSM Credential	•••••	
Recovery Passphrase	•••••	
Use Token Label	\checkmark	
Token Label	ТРА-НА]

18. Click Initialize. On success, you will see the following message.



19. Once initialized, verify the **HSM Status**. You will see a green arrow pointing up with HSM partition details.



NOTE: If you change the HSM credential after HSM initialization, you must need to update the HSM credential on the Oracle Key Vault server using the Set Credential option.

20. Verify the master encryption key generated on the partition by executing the partition contents in lunacm.

unacm:>par con	
The 'Crypto Officer' is currently logged in. Looking for objects accessible to the 'Crypto Officer'.	
Object list:	
Label: OKV 18.1 HSM Key Number Handle: 115 Object Type: Data Object UID: 5600000025000003cb640800	
Label: OKV 18.1 HSM Root Key Handle: 96 Object Type: Symmetric Key Usage Limit: none Object UID: 5400000025000003cb640800	
Number of objects: 2	
command Result : No Error	
unacm:>	

This completes the integration of Oracle Key Vault with Thales Luna HSM.

Upgrade considerations when Luna HSM is configured

Note: These steps are applicable for Oracle Key Vault v21.3 onwards when you integrated and enabled Oracle Key Vault to use Luna Client other than v6.x.

Follow the <u>Oracle Key Vault Upgrade documentation</u> for upgrading your Oracle Key Vault. The instructions provided here are required when Luna HSM is integrated and enabled for RoT.

If you have Oracle Key Vault v21.3 or above installed using Luna Client other than v6.x and you are upgrading to higher version of the Oracle Key Vault that is supported, create the pre-upgrade or post-upgrade script mentioned below and execute them as instructed.

NOTE: These pre-upgrade or post-upgrade scripts are useful for Oracle Key Vault administrators to ensure that all Luna HSM-related configurations are as expected before the upgrade begins and after the upgrade completes.

- 1. Log in to Oracle Key Vault server through SSH as user support and switch user (su) to root.
- 2. Create pre-upgrade or post-upgrade scripts in the /opt directory and execute them when necessary.
- **3.** Create a pre-upgrade-luna.sh with the following contents that will check the Luna HSM connectivity before upgrade.

```
#!/bin/bash
chown -R root:root /etc/Chrystoki.conf
chmod 644 /etc/Chrystoki.conf
```

```
chmod -R g-s /usr/safenet/lunaclient/cert
chown -R root:root /usr/safenet/lunaclient/cert
chmod -R 755 /usr/safenet/lunaclient/cert
chmod -R g-s /usr/safenet/lunaclient/configData
chown -R root:root /usr/safenet/lunaclient/configData
chmod -R 755 /usr/safenet/lunaclient/configData
chmod -R g-s /usr/safenet/lunaclient/data
chown -R root:root /usr/safenet/lunaclient/data
chown -R root:root /usr/safenet/lunaclient/data
sudo -u oracle /usr/safenet/lunaclient/bin/vtl listslots
```

4. Create a post-upgrade-luna.sh with the following contents that will check the Luna HSM connectivity after upgrade.

```
#!/bin/bash
gpasswd --add oracle hsmusers
chown -R root:hsmusers /etc/Chrystoki.conf
chmod 660 /etc/Chrystoki.conf
chmod -R 755 /usr/safenet/lunaclient/bin
chmod -R 755 /usr/safenet/lunaclient/lib
chmod -R 755 /usr/safenet/lunaclient/plugins
chown -R root:hsmusers /usr/safenet/lunaclient/cert
chmod -R 2770 /usr/safenet/lunaclient/cert
chmod 664 /usr/safenet/lunaclient/cert/client/*
chmod 664 /usr/safenet/lunaclient/cert/server/*
chown -R root:hsmusers /usr/safenet/lunaclient/configData
chmod -R 2770 /usr/safenet/lunaclient/configData
chmod 664 /usr/safenet/lunaclient/configData/token/001/*
chown -R root:hsmusers /usr/safenet/lunaclient/data
chmod -R 2770 /usr/safenet/lunaclient/data
chmod -R 2777 /usr/safenet/lunaclient/data/*
sudo -u oracle /usr/safenet/lunaclient/bin/vtl listslots
```

5. While upgrading, you must run pre-upgrade-luna.sh before running the upgrade command /usr/bin/ruby /images/upgrade.rb --confirm mentioned in the Oracle Key Vault Upgrade documentation.

Caution: Do not run the Oracle Key Vault upgrade.rb script without running the preupgrade-luna.sh. Otherwise, the Oracle Key Vault upgrade may fail. As mentioned in the Oracle Key Vault documentation, you must have pre-upgrade backup of the OKV server to start again in case of failure.

6. Ensure that the output of the pre-upgrade-luna.sh displays the Luna SA information similar to the image below:

[root@okv opt]# ./pre-upg. vtl (64-bit) v10.4.0-417.	rade-luna.sh Copyright (c)	2021 SafeNet.	All rights	reserved.	
Number of slots: 1					
The following slots were :	found:				
Slot Description	Label		Serial	L #	Status
0 HA Virtual Card Slot	======================================		113121	 L09862206	Present

7. Follow the Oracle Key Vault Upgrade documentation and run the upgrade script provided with the upgrade ISO.

root# /usr/bin/ruby /images/upgrade.rb -confirm

When the upgrade gets completed successfully, the screen with heading Oracle Key Vault Server <u>new_software_release</u> appears, wherein <u>new_software_release</u> indicates the release number of the upgraded version.

	1.
version 21.4.0.0.0.	
Please check the release notes for required post upgrade steps.	
The appliance administration console is available at	
https://10.164.75.28.	
<u>с ок</u>	

- 8. Log in to the Oracle Key Vault management console as a user with system administrative privileges.
- 9. Click the System tab.
- 10. Click Settings. Under Network Services, click HSM.
- **11.** In the left sidebar, click **Hardware Security Module**.

12. Verify that Luna HSM is enabled in the upgraded Oracle Key Vault version.

Key Vault 21.5	441					ጲ sys_admin ∽
☆ Home	🖵 Endpoints	🔇 Keys & Wallets	nh Reports	🕂 Users	🖾 System	🔀 Cluster
Last Refreshed Time: 19-JAN-2023 14:2	2:32 [All times UT	C +05:30 hours]				-
System	Hardware Se	curity Module			Set	Credential Reverse Migrate
Status						
Settings	Status	Û				
Hardware Security Module	Туре	Token label: TPA-HA Manufacturer ID: Safenet, Inc. Firmware version: 7.7				
	Set Screen Reader	Mode On	Copyright (c) 2014, 2022 Oracle -	and/or its affiliates.		21.5.0.0.0

- **13.** Login to Oracle Key Vault server through SSH as user support, and switch user (su) to root.
- 14. Execute post-upgrade-luna.sh and ensure that Luna HSM information appears after the post upgrade with the output "Adding user oracle to group hsmusers", as indicated in the image below.

[root@okv opt]# ./post-upg Adding user oracle to grou vtl (64-bit) v10.4.0-417.	grade-luna.sh up hsmusers Copyright (c)	2021 SafeNet. All	rights reserved.					
umber of slots: 1								
The following slots were f	found:							
Slot Description	Label		Serial #	Status				
0 HA Virtual Card Slot [root@okv opt]#	======== ТРА-НА		11312109862206	Present				

15. Proceed to follow the post-upgrade steps as per the Oracle Key Vault documentation.

In case <code>post-upgrade-luna.sh</code> fails to display Luna HSM information, contact Thales Customer support. Do not restart the Oracle Key Vault server.

Backing up and restoring Oracle Key Vault in HSM Mode

You can back up and restore Key Vault data when HSM mode is enabled. It is recommended that you back up data periodically to reduce down time and recover from unexpected data losses and system failures. Backup can be done on local as well as remote destination, but it is advised to choose remote destination. There are two types of backups: **One-Time** and **Periodic**. For the purpose of demonstration, this guide uses **One-Time** backup.

- > Back up Oracle Key Vault in HSM mode
- > Restore Oracle key Vault in HSM mode

Back up Oracle Key Vault in HSM mode

- 1. Log in to the Oracle Key Vault management console as a user with System Administrator privileges.
- For Oracle Key Vault v21.1 or above, select the System > Settings and then click Backup and Restore under System Configuration. A list of scheduled and completed backups will be displayed.
- 3. For Oracle Key Vault v18.5 or below, select the **System** tab and then click **System Backup** on the left sidebar. A list of scheduled and completed backups will be displayed.
- 4. Click Manage Backup Destinations. This will display a list of all backup destinations.
- 5. Click Create.
- 6. Enter the following information for the backup location:
 - a. Destination Name: Specify any destination name.
 - b. Transfer Method: This is by default set to SCP to allow secure copy of files.
 - **c.** Hostname: Enter the IP address of the backup destination. If DNS is configured enter the Hostname.
 - d. Port: Enter Port number for SCP. The default port number is 22.
 - e. Destination Path: Enter the Actual path on the backup destination.
 - f. Username: Enter the username with read-write permission of Destination Path.
 - g. Authentication Method: Select the authentication method as key-based or password based.
 - For key-based, enter the public key.
 - For password-based, enter the password.

Destination Name • BackupServer	
Transfer Method 💿 scp 💿 sftp	
Hostname * 10.164.78.89	
Port * 22	
Destination Path * /okv/backup	
User Name * root	
Authentication Method O Key-based Authentication O Password Authentication	
Password *	
Re-enter Password *	

7. Click **Save**. Oracle Key Vault validates the destination. If the validation fails, the destination is not created.

NOTE: You can edit these settings at any time, except when restoring from a backup.

- 8. After the destination is created, click **System Backup** > **Backup**.
- 9. Enter the following information for the backup:
 - **Name:** Enter a name to identify the backup.
 - Start Time: Select a time for the backup to start. If you want to start it now, select Now.

- Destination: Select a local or remote destination.
- Type: Select One-Time or Periodic. If Periodic is selected, specify the time when the backup will be performed.

Backup	Cancel Schedule
Name *	OKVBACKUP
Start Time *	25-MAR-2021 19:30
Destination *	BACKUPSERVER 🗸
Type *	ONE-TIME
	PERIODIC
	Days 7 Hours 00 V Mins 00 V

10. Click **Schedule**. This will enable you to check the backup status as ACTIVE, ONGOING, PAUSED or DONE. When the backup is in progress, its status will appear as ONGOING. Once the backup is completed, its status will appear as DONE.

(Completed Backups									
	Name	Туре	Destination	Status	Run Index	Run Error	Schedule Time	Start Time	Backup Time	Last Full Backup Time
	OKVBACKUP	Backup Once	BACKUPSERVER	DONE	1		25-MAR-2021 19:35:21	25-MAR-2021 19:35:22	25-MAR-2021 19:49:27	25-MAR-2021 19:49:27
										row(s) 1 - 1 of 1

11. You can verify the backup files on backup destination.

<pre>[root@localhost ~]# ls</pre>	/okv/backup/	
okvbackup_onetime.mgr	okvbackup_onetime_onetime_20210325140732_hsm_okvinit.bkp	

Restore Oracle Key Vault in HSM mode

NOTE: Only backups taken in HSM mode can be restored to an HSM-enabled Oracle Key Vault. Before restoring a backup, you must ensure that the system can access both the HSM and Root of Trust (RoT) used to take the backup. To restore a backup, you must have installed the Luna Client Application on the Oracle Key Vault server and register the partition that was used at the time of backup prior to this procedure.

- 1. Log in to the Oracle Key Vault management console as a user with System Administrative privileges.
- 2. For Oracle Key Vault v21.1 or above, click Settings. Under Network Services, click HSM.
- 3. For Oracle Key Vault v18.5 or below in the left sidebar, click Hardware Security Module.
- 4. Validate the HSM status if the Status appears as disabled. Click Set Credential. The Prepare for HSM Restore dialog appears.

NOTE: Skip step 4-6, if HSM status appears to be enabled with Green Arrow pointing towards UP.

- 5. Open the Vendor drop-down menu and select the Vendor.
 - For Oracle Key Vault v21.3 onwards, when using Luna Client other than v6.x, you must choose Vendor as per the **VENDOR_NAME** defined in okv_hsm.conf_file.
 - For Oracle Key Vault v21.2 or below, choose **Thales** as a Vendor listed at top position.

NOTE: In earlier versions of Oracle Key Vault (18.4 or lower), select **SafeNet** in Vendor drop-down menu. **Thales** is available since Oracle Key Vault v18.5 onwards.

6. Enter the partition password in the HSM Credential field. Select Use Token Label and enter the Token Label. Click Set Credential.

Prepare for HSM Restore		\otimes
		Cancel Set Credential
If HSM mode is already enabled for HSM connection.	this instance, resetting the credential to	o a different value will break the
Vendor	Thales_Luna 🗸	
HSM Credential	•••••]
Re-enter HSM Credential	•••••]
Use Token Label	\checkmark	
Token Label	ТРА-НА]

NOTE: Earlier versions of Oracle Key Vault (v18.3 or below) do not facilitate to select Token Label. Using Token Label you can choose any token if multiple tokens are registered.

- 7. For Oracle Key Vault v21.1 or above, click **Settings** from the left sidebar and select **Backup and Restore** from **System Configuration**.
- 8. For Oracle Key Vault v18.5 or below, click **System Backup** from the left sidebar.
- 9. Click **Restore**. Select the **Source** where the backup files are stored. It will list all the backups available on the source. Select the backup that you want to restore.

Resto	ore			Cancel
Source	e BAC	KUPSERVER 🗸		
Av	ailable Backu	sdr		
	Q~		Go Actions V	
	Restore	Туре	File	Backup Time
	۲	One-Time	okvbackup_onetime_onetime_20210325140732_hsm	25-MAR-2021 19:37:32
				1 - 1 of 1

10. Click Restore.

11. Now enter the **Recovery Passphrase** that was set during the Post Installation Step of Oracle Key Vault and click **Restore**. The restore process will get started. You will see the **Status** as **ONGOING**.

ast Restore Details			
Restore Time	Destination Name	Run Error	Status
19-JAN-2023 18:09:33	BACKUP_SERVER	-	ONGOING
		rov	w(s) 1 - 1 of 1

NOTE: During the Restore process, the Oracle Key Vault management console may not work. Avoid changing any configuration until the restore is completed.

The system will be restored from the backup and then restarted. The system will be available after the completion of restore process.

Enabling Luna HSM in OKV Multi-Master Cluster

You can configure Luna HSM in a multi-master cluster with a single node or multiple nodes by using one of the following methods:

NOTE: In a multi-master Oracle Key Vault installation, any Key Vault node in the cluster can use any HSM. The nodes in the multi-master cluster will use different TDE wallet passwords and RoT keys and may or may not use different HSM credentials, depending on how you choose to configure each cluster node.

- > Configure Luna HSM for a multi-master cluster starting with single node (recommended)
- > Configure Luna HSM for a multi-master cluster with multiples nodes

Configure Luna HSM for a multi-master cluster starting with single node (recommended)

To use an HSM with a multi-master cluster, you should start with a single HSM-enabled node and then add additional HSM-enabled nodes using the node induction process. Here are the steps:

- > Convert an existing Oracle Key Vault server into the first node of the cluster
- > HSM-enable the first node
- > HSM-enable the candidate node before adding it to the cluster
- > Add the HSM-enabled candidate node to the cluster using an HSM-enabled (first) controller node

NOTE: If any node in the cluster is already HSM-enabled, you cannot add a new node that is not HSM-enabled. The Add Node to Cluster page on the controller node will require HSM credentials of the controller node.

Convert an existing Oracle Key Vault server into the first node of the cluster

To create a cluster, convert an existing standalone Oracle Key Vault server into the first node of the cluster. This first node is called the initial node or controller node. You can use this node to add one or more nodes to the cluster. The node operates in read-only restricted mode until it is part of a read-write pair. To convert a node into first node, complete the following tasks:

- 1. Perform a server backup.
- 2. Log into the Oracle Key Vault management console as a user who has the System Administrator role.
- **3.** If the Oracle Key Vault server was upgraded from a release earlier than Oracle Key Vault release 12.2 (bundle patch 8), then generate and activate (rotate) a new certificate for the node.
- 4. Select the **Cluster** tab.
- 5. The Configure as Candidate Node page appears, with the IP address of the current server listed in the Current Server IP field.
- 6. On the Configure as Candidate Node page, enter the following information:

onfigure as Candidate No	de	Convert to Candidate I
Current Server IP	10.124.138.135	
First Node of Cluster *	🔿 No 💿 Yes	
Node Name *	okv005056aa3535	
Cluster Name *	mycluster	
Cluster Subgroup 💷 *	mysubgroup	

- a. First Node of Cluster: Select the Yes button.
- **b.** Node Name: Enter a unique name for this node. You cannot change this name after it has been accepted in the name resolution process.
- c. Cluster Name: Enter a name for this cluster of nodes. You cannot change this name after it has been accepted in the name resolution process.
- **d. Cluster Subgroup:** Enter a name for this sub-group of nodes, such as a data center name or a logical group name. You cannot change this name after it has been accepted in the name resolution process.
- 7. Click the Convert to Candidate Node button. After the conversion process is completed, the Cluster Management page will appear on screen and you'll be able to operate the node in read-only restricted mode. You can verify this by checking the Cluster Details, as shown below.

Cluster Details							A	dd Delete	Force Del	Disable
Q.~			Go Action	s∨						
Select Node	Node ID $\uparrow \exists$	Node Name	IP Address	Mode	Status	Read-Write Peer	Cluster Subgroup	Join Date	Disable Date	Node Version
	1	<u>okv005056aa3535</u>	10.124.138.135	Read-Only Restricted	ACTIVE	•	mysubgroup	7/14/2021 1:14:26 PM	-	18.5.0.0.0
										1 - 1

HSM-enable the first node

Refer to Configuring Oracle Key Vault to use Thales Luna HSM to enable the HSM on the first node.

HSM-enable the candidate node before adding it to the cluster

Refer to Configuring Oracle Key Vault to use Thales Luna HSM to enable HSM on candidate node.

Add the HSM-enabled candidate node to the cluster using an HSM-enabled first (controller) node

- 1. Perform a backup of the controller node before continuing.
- 2. Ensure that the following network requirements are in place:
 - **a.** There is good network connectivity between the servers that host the controller node and the candidate node.
 - **b.** The ports that are required for Oracle Key Vault are open in the network firewall. These ports are described in Network Port Requirements in <u>Oracle Key Vault Documentation</u>.
- Log into the first (controller) node Oracle Key Vault management console as a user who has the System Administrator role. You can use any existing node, including the first node that does not have a read-write peer to be the controller for this operation. If necessary, add a read-only node first.
- 4. Select the **Cluster** tab.
- 5. Click Add.
- 6. In the **Recovery Passphrase of the Cluster**, enter the recovery passphrase. This value will be used later when you pair with the candidate node.

Add Candidate Node to Cluster	Cancel	Add Node
This OKV cluster node, also referred to as the "Controller" node for this cluster operation will add the "Candidate" node to the cluster. The controller node and candidate node need to exchange information, like the IP Address and Certificates in order for the Controller node to seed the candidate node.		
Ensure that the candidate node has been configured with the controller node information before clicking "Add Node". The seeding process of the candidate node can be tracked from the cluster Management page by clicking the name of the candidate node. Once the candidate node is part of the cluster, its status will show as ACTIVE on the Management page of the controller node.		
Add Cluster Details Recovery Passphrase of the Cluster *		

- 7. Select Yes for Add Node as Read-Write Peer.
- 8. Enter the following details under Add Candidate Node Details. When you enter these details, do not save any of this information or click the Add Node button until you reach Step 9.
 - a. Node ID: Select a unique ID for the candidate node. Remember that after you create this ID, you cannot change it.
 - **b.** Node Name: Enter a unique name of the candidate node. After you create this name, you cannot change it.
 - c. Cluster subgroup: Enter the sub-group name for the candidate node. You can provide an existing subgroup name. If you provide a subgroup name that does not exist, it will be created. Remember that you cannot change the subgroup of this node after the node joins the cluster.

d. IP Address: Enter the IP address of the candidate node.

Add Candidate Node Details	
Add Node as Read-Write Peer 💷 *	○No ●Yes
Node ID 💷 *	02 v Ensure the Candidate node ID is unique in the cluster!
Node Name *	node2
Cluster Subgroup 💷 *	mysubgroup
Cluster Name	mycluster
IP Address *	10.124.138.136
Certificate of Candidate Node 💷 *	

- **9.** In a new browser window, log into the Oracle Key Vault management console of the candidate node as a user who has the System Administrator role.
- 10. Select the Cluster tab. The Configure as Cluster Candidate page is displayed.
- 11. For First Node of Cluster, select No.

Г

12. For **Recovery Passphrase of the Cluster**, enter the recovery passphrase of the cluster that you created earlier for the controller node.

Configure as Candidate Noo	de	Convert to Candidate Node
Current Server IP	10.124.138.136	
First Node of Cluster *	● No ○ Yes	
This OKV server, also a "Controller" node in in order for the control	referred to as the "Current Server" the cluster. The controller node ar ller node to seed this server after i	, will be converted to a "Candidate" node before it can be added to the cluster by d this server need to exchange information, like the IP address and certificates is converted to a candidate node.
During the seeding pro	ocess, all the data on this candidat	e node will be deleted and the candidate node will also be rebooted.
This server will be part	t of the cluster, once the status of	he converted candidate node is ACTIVE on the Management page of the controller node.
Add Cluster Details		
Recovery Passphrase o	of the Cluster *	

13. For IP Address, enter the IP address of the controller node.

14. In the browser window for the controller node, scroll to the bottom of the screen. Select and copy the entire node certificate.

Add Controller Node Details		
HSM Credential *		
Node Name	okv005056aa3535	
IP Address	10.124.138.135	
Certificate of Controller Node	BEGIN CERTIFICATE HIIFSTCCA2GAWIBAGICAJSYSULKCOAMAOGCSQGSID3DQEBGWUAMDsxOTA3BGNV BAMMMEFWU19DQV9DSXJOLTA4YmE2NZUOLWUZMMWLNDkyZCO4MTK5LMQSMm2hMjQ3 Nj1LY2AEFWOYMTA2MTCYNj1LND1aFWOYMA2NTCYNj1LND1aMDsxOTA3BGNVBAMM MEFWU19DQV9DSXJOLTA4YmE2NZUOLWUZMMWLNDkyZCO4MTK5LMQSNm2hMjQ3) JY2CCA1HMQZYJKOZIHOVNAQEBBQADgGIFADCCAgoCgGIBAMFJYXO5jh+/Q6XeqTWL XZLDLxKXOdcgOVMhØVC+5ax5056CwJ34-b-DqKKM5yEfNYjUNSXRTTGK1K7EUSQ UrpcxX7fymd5jWiELF2F1GySRAdIbs7g+txjdesiOLUTCgGQLRRIDSX18 TDYFMJy51gvD5f3GUxmVBUJnGYY7jJKHMOvhO4hJGoWHV1MIIQABen8yDdIQMQA QIUKHOHTZPGQcKvSrYUAQ1FWGUYSH9GDANASSCTSWSBNZO3HNNIKK/qqKVjJ5 U1s0yqHqRIVXz1csaM2LyY+KF1gXKNfq8s16Lcvtp6f7z1kT2XOBaDF2g5G /gQv/IWtn2Avab2J7OF1sK01Y5701FUJ9W1sG83D1Ljgf8Q4ffz940104k0FPL KhhSmWNLBV0ED02Y71A4UUSMQVWKTZF7531WCezdSmu0566sbyVMm/D1Yh4	^

15. In the browser window for the candidate node, paste the certificate copied from the controller node into the **Certificate of the Controller Node** field.

Add Controller Node Details	
IP Address * 10.124.138.135	
Certificate of Controller Node IIII CERTIFICATE MIIFSTCCA2GGAWIBAGIJAJ9K91uKLCOAMA0GCSqGSIb3DQEBCwUAMDsxOTA3BgNV BAMMMEFWUJ9DQV9DZXJOLTA4YME2N2UOLUZOMWICHDYX2CO4MTK5LWQSNnZLMjQ3 NjIIYAAFW0JWHZANTGYNJIIDIaFw0JWAEAWTYMJIINDIAMDSXOTA3BgNV BAMMMEFWUJ9DQV9DZXJOLTA4YME2N2UOLUZOMWICHDYX2CO4MTK5LWQSNnZLMjQ3 NjIIYAAFW0JWHZANTGYNJIIDIaFw0JWAEAWTYMJIINDIAMDSXOTA3BgNV BAMMMEFWUJ9DQV9DZXJOLTA4YME2N2UOLUZOMWICHDYX2CO4MTK5LWQSNnZLMjQ3 NjIIYAAFW0JWHZANTGYNJIINDIaFw0JWAEAWTYMJIINDIAMDSXOTA3BgNV BAMMMEFWUJ9DQV9DZXJOLTA4YME2N2UOLUZOMWICHDYX2CO4MTK5LWQSNnZLMjQ3NjII YzCCAIIwDQYIKOZHUKNADK200AUGBBQADggIPADCCAgoCggIBAMFJYXO5jh+/Q6xerWE xZLBLX0X04cg0VNhVC+5ax5056CwV3+A+DqKWSyEfhYkjUNSXRtT6RIKYEUSq urpcXX7fym9g5juiEL+R2F3f0ySRDAx1bs7qr+uxjdesioUUTtgcgLRRIOSXIS rpyRWjylafwYD5fjduxNBUJAG6gSaiELcwP6f72+1kT2kOBDXPsGS /gQW/1Wt12AYA02J705rsK1JSTOIFUJ19W1SGSDLjdf7Eg04Ff2vGUAPK KubSUNDSWD2VYTANUSMQDVwKT2pT9JSIMCEzdSmu05G65byVMm/DiYh4 jzz8JRU04V3g005ZMd0PVBJSSWFD3x00F53dUVAFFZMOUTh6BCSSOGAh uaE402btjj012Q5Fv95axuWXLfWHHUL97h3Ggn/cLi+jELP+HFY1sGR/FMAfWg	

CAUTION: Check the recovery passphrase, IP address, and the pasted certificate very carefully to ensure that you copied it correctly. If there is an error after you click Convert to Candidate Node, you will need to reinstall Oracle Key Vault.

- 16. Click Convert to Candidate Node. After the conversion is completed, the screen gets refreshed and displays the certificate of the candidate node. The Adding Candidate Node to Cluster page is displayed. This can take several minutes.
- **17.** Select and copy the entire candidate node certificate.



18. In the browser window of the controller node, paste the certificate copied from the candidate node into the **Certificate of Candidate Node** box.

Candidate Node Details Add Node as Read-Write Peer D * ON O Yes Node ID * D * D * D * D * D * D * D * D * D	
Add Node as Read-Write Peer 🗊 * 🕜 No 💿 Yes	
Node ID 💷 * 02 x Ensure the Candidate node ID is unique in the cluster!	
bit i constanti di	
Node Name * node2	
Cluster Subgroup	
Cluster Name mycluster	
IP Address * 10.124.138.136	
Certificate of Candidate Node 💷 *	^
MIIFSTCCAzGgAwIBAgIJAK9xB1zRGDisMA0GCSqGSIb3DQEBCwUAMDsxOTA3BgNV	
BAMMMEFWU19DQV9DZXJ0LWZiZTYWZjQyLWFiZjEtNGJMZiliOTgzLWUZYjUZNjIZ	
ZWVjMDAeFw0yMTA2MTcyMjU2MjZaFw0yMzA2MTcyMjU2MjZaMDsxOTA3BgNVBAMM	
MEFWU19DQV9DZXJ0LWZiZTYwZjQyLWFiZjEtNGJmZiliOTgzLWUzYjUzNjIzZWVj	
MDCCAiIwDQYJKoZIhvcNAQEBBQADggIPADCCAgoCggIBAJsviCR4JhiCot5pZ1su	
Wna7Rmf80H5JmlJ5ZUQ9x1KKruL5WY8X5nUc6EXCiZSDt/3/pBNvG0ZWxcLx07P+	
lA6wySwwSCZXavzYxJkKof35bdrRBa/aLGrFtvj/3FEukLgGGuqeD+x6zvoEjy0R	
T0Je2dm6H2G2EIWeWeyvLzU4dofoX6X+KArS8XAhpYSrUWJRaEJRAv9h3g5SJFa2	
gQ51wSneIJ7LHHZp+ROR16q6NkDsQHnywC9GmBm2nn7cuhs8/vy2qTcLcdABFjCD	
5/tZJ9hnuwKussbqM8MIrgRAIyKjGMepSv+e9VGbz35ISYojd09Jg2GhaWkQJNJF	
T4X/8FleecDZbclNwGstjToR5PefizbE0qBgaIDYRKCS9n9LQwsg7W8ZUJfFGkGK	
bZar7Zur9HLyQM8XEaIVFQ0gvR6aXLrFArP9rDZIRzpzsucqJR/4qUo32IdmWp+9	
Y6nDGvmCvaf0nthCFYgaXd9AHMbEPgqtTpftH004C8jSGr+aNIgFkrRPT+hhmkWh	
bXSj7nw7iEkOia5eBLYj4pEzwNvg5cYUpLJJGATbCDzHTKSufVKGaYvVDh+yG6GD	\sim
b3KbEsF/fVJl/yj2H1N16iR/27eBs6kTAvPZu+uLmigcLwDOAVET8ywkJGpLsVbz	//.

19. Add HSM Credential that is partition password.

Add Controller Node Details		
HSM Credential *	•••••	
Node Name	okv005056aa3535	
IP Address	10.124.138.135	
Certificate of Controller Node	BEGIN CERTIFICATE MIIFETCCA.2GAAIHBAGIJAJ9K91UKCOAMA0GCSQGSID3DQEBCWUAMDsxOTA3BgNV BAMMBEFWU SQV5DEXJOLTA4YmE2N2UOLWU2MWHTNDkyZcO4NYk5LMQ5Mm2hHjQ3 Nj1IY2AEFv09MTAZNTCYMJ1INDLaFv09MIAZATCYMJ1INDLAMDsxOTA3BgNVBAMM MEFWU SDQVDEXJOLTA4YmE2N2UOLWU2MWHTNDkyZcO4NYk5LMQ5Mm2hHjQ3Nj1I YzcCalivDgYJKoZhivCMAQEBBDADGgIFADCCAQcCgIBAMFJYX05jh+/G5KeqIWi ZLELKZKXO4GJVNB9CY5AX5D5C4V34A+DgKMSPfFhYkJUSKTFCF6HYFUSG urpczX7fym3gEjwiEL+R2F3f0ySRDAxdIbs7gr+uxjdesicUU7tgGLHRIOX1S rpyKWjylgwpGtivXzicsaM2Lyy+KFigXKN6q5Sal8Lcwtp6f7z+Lkf2k0EaDHgJ3 Uis0gydPGHiVXzicsaM2Lyy+KFigXKN6q5Sal8Lcwtp6f7z+Lkf2k0EaDHgJ3 GdW/IMTCA2vb32705SKG1Y504UFUJSHU5G4SG1Ljqf8g4FF2940104k0FPL Khh5mmVHL8F0VDDC0YY7A4NUSMQPVvK72pT9JS1VM6ZsdBmu05G6byVMm/DLYh4	

20. Click Add Node.

21. Click OK to confirm in the confirmation dialog box.

This process can take an hour or more, depending on the speed of your server, quality of network, and volume of data in the cluster. During this period, the network management interface of the Oracle Key Vault will be restarted and you might momentarily get a **Server Error 500** on the controller node.

On the candidate node, errors such as **Bad Gateway** may also appear. The candidate node will restart as part of the induction process. This is normal. During the pairing process, the status of the candidate node will appear as **PAIRING** on all cluster nodes.

Cluster Details							A	d Delete	Force Del	ote Disable
Q~			Go Action	s ~						
Select Node	Node ID ↑≞	Node Name	IP Address	Mode	Status	Read-Write Peer	Cluster Subgroup	Join Date	Disable Date	Node Version
	1	<u>okv005056aa3535</u>	10.124.138.135	Read-Only Restricted	ACTIVE	-	mysubgroup	7/14/2021 1:14:26 PM	-	18.5.0.0.0
	2	node2	10.124.138.136	Read-Only Restricted	PAIRING	-	mysubgroup	7/14/2021 3:48:32 PM		18.5.0.0.0
										1 - 2

22. Verify that after pairing is completed, the status of both the nodes is **ACTIVE** with **Read-Write Peer** mode.

Current Node Ir	nformation									
Node N	ame okv00	5056aa3535								
Node 1	Type Read-	Write								
Cluster Subgr	oup mysut	ogroup								
Cluster Informa	ition									
	Clust	ter Name mycluste	er							
	Cluster Su	bgroups mysubg	oup							
Maximum Disa	able Node Dur	ation 💷 24 hrs								
	Cluster	Version 18.5.0.0	0							
Cluster Details								Add Delet	Force Dele	Disable
Q~			Go Actions	· ·						
Select Node	Node ID ↑≞	Node Name	IP Address	Mode	Status	Read-Write Peer	Cluster Subgroup	Join Date	Disable Date	Node Version
	1	okv005056aa3535	10.124.138.135	Read- Write	ACTIVE	node2	mysubgroup	7/14/2021 1:14:26 PM	-	18.5.0.0.0
	2	node2	10.124.138.136	Read- Write	ACTIVE	okv005056aa3535	mysubgroup	7/14/2021 3:48:32 PM	-	18.5.0.0.0

Configure Luna HSM for a multi-master cluster with multiples nodes

You can configure HSM for a multi-master Cluster with multiple nodes by completing these tasks:

- > HSM-enable the first node
- > Create and copy the bundle after HSM-enabling the first node
- > Configure the remaining nodes

Before proceeding to the main steps, you should have multi-master cluster setup ready with multiples nodes, as shown below:

Current Node Ir	nformation									
Node N	ame okv00	5056aa3535								
Node 1	Type Read-	Only								
Cluster Subgr	oup mysub	ogroup								
Cluster Informa	ation									
	Clust	er Name mycluste	er							
	Cluster Su	bgroups mysubg	roup							
Maximum Dis	able Node Dur	ation 💷 🛛 24 hrs								
	Cluster	Version 18.5.0.0	.0							
Cluster Details								Add Delet	e Force Dele	Disable
Q~			Go A	ctions ∽						
Select Node	Node ID ↑≞	Node Name	IP Addres	s Mode	Status	Read-Write Peer	Cluster Subgroup	Join Date	Disable Date	Node Version
	1	okv005056aa3535	10.124.138.	135 Read- Write	ACTIVE	okv005056aa3536	mysubgroup	7/22/2021 12:39:46 PM	-	18.5.0.0.0
	2	<u>okv005056aa3536</u>	10.124.138.	136 Read- Write	ACTIVE	okv005056aa3535	mysubgroup	7/22/2021 12:55:43 PM	-	18.5.0.0.0

HSM-enable the first node

Follow the steps in <u>Configuring Oracle Key Vault to use Thales Luna HSM</u> to enable HSM on the first node. After the HSM is enabled, you can see its status in the Cluster Settings State page.

Cluster Se	ttings State						
Qv				G	o Ac	tions ~	
Node ID	Node Name	Audit	FIPS	HSM	SNMP	SYSLOG	DNS
1	okv005056aa3535	~	×	~	×	×	~
2	okv005056aa3536	~	×	×	×	×	~
							1 - 2

Create and copy the bundle after HSM-enabling the first node

After HSM-enabling the first node in the multi-master cluster, you must create a bundle and copy it to the other nodes in the cluster.

- 1. Log in to the **Oracle Key Vault management** console as a user who has the System Administrator role.
- 2. Click the System tab.
- 3. For Oracle Key Vault v21.1 or above, click Settings. Under Network Services click on HSM.
- 4. For Oracle Key Vault v18.5 or below in the left sidebar, click Hardware Security Module.
- 5. On the HSM-enabled node, click **Create Bundle** on the HSM page.

Hardware	Security Module	Initialize Set Credential Reverse Migrate
Status	Ŷ	Create Bundle Apply Bundle
Туре	Token label: LUNAHA Manufacturer ID: Safenet, Inc. Firmware version: 7.7	

- 6. In the **Create Bundle** dialog box, make the following changes:
 - a. In the HSM Credential field, enter the HSM password.
 - b. In the Recovery Passphrase field, enter the recovery passphrase.
 - c. Click the Create Bundle button.

Create Bundle	Cancel	Create Bundle	
HSM Credential *	•••••		
Recovery Passphrase *	•••••		

7. Log in to Oracle Key Vault server through SSH as user support, and switch user (su) to root.

8. Copy the bundle to the /usr/local/okv/hsm location on all the other nodes using the IP address.

```
# scp /usr/local/okv/hsm/hsmbundle support@ip address:/tmp
```

Configure the remaining nodes

After you configure the first node, you are ready to install the bundle on the remaining nodes. Complete this procedure as soon as possible after you have HSM-enabled the first node and copied the bundle to all other nodes.

- 1. Log in to each node in the cluster using the IP address (except the original HSM-enabled node):
 - # ssh support@ip_address
- 2. On each node, switch to the root user:
 - # su root
- 3. Copy the /tmp/hsmbundle file to /usr/local/okv/hsm/.
 - # cp /tmp/hsmbundle /usr/local/okv/hsm/
- 4. Change the ownership of the hsmbundle file to user oracle and group oinstall.
 - # chown oracle:oinstall /usr/local/okv/hsm/hsmbundle
 - a. On each node, except the original HSM-enabled node, click **Apply Bundle** on the **HSM** page, and then follow these steps:
 - **b.** In the **Recovery Passphrase** field, enter the recovery passphrase.
 - c. Click the Apply Bundle button.

Note: You must apply the bundle immediately on all nodes before you reverse-migrate the original HSM-enabled node.

- 5. Proceed to HSM-enable each of the remaining nodes in the cluster, using the steps in <u>Configuring</u> Oracle Key Vault to use Thales Luna HSM.
- 6. Verify that the HSM is enabled on every node in the cluster in Cluster Settings State.

Cluster Set	ttings State						
Qv				G	o Ac	tions ~	
Node ID	Node Name	Audit	FIPS	нѕм	SNMP	SYSLOG	DNS
1	okv005056aa3535	~	×	~	×	×	~
2	okv005056aa3536	~	×	~	×	×	~
							1 - 2

7. After you have HSM-enabled on all nodes and verified the replication between all nodes, remove the **hsmbundle** file from all the nodes.

This completes the Luna HSM integration with Multi-Master OKV Cluster.

Contacting customer support

If you encounter a problem during this integration, contact your supplier or <u>Thales Customer Support</u>. Thales Customer Support operates 24 hours a day, 7 days a week. Your level of access to this service is governed by the support plan arrangements made between Thales and your organization. Please consult this support plan for further information about your entitlements, including the hours when telephone support is available to you.

Customer support portal

The Customer Support Portal, at <u>https://supportportal.thalesgroup.com</u>, is a database where you can find solutions for most common problems. The Customer Support Portal is a comprehensive, fully searchable repository of support resources, including software and firmware downloads, release notes listing known problems and workarounds, a knowledge base, FAQs, product documentation, technical notes, and more. You can also use the portal to create and manage support cases.

NOTE: You require an account to access the Customer Support Portal. To create a new account, go to the portal and click on the **REGISTER** link.

Telephone support

If you have an urgent problem, or cannot access the Customer Support Portal, you can contact Thales Customer Support by telephone at +1 410-931-7520. Additional local telephone support numbers are listed on the support portal.